

**Baroclinic annular variability in the  
Northern Hemisphere**

David W. J. Thompson and Ying Li

Department of Atmospheric Science, Colorado State University

Fort Collins, CO. USA

*Submitted to Journal of Atmospheric Sciences*

*April 2014*

## 1 **Abstract**

2           Large-scale variability in the Northern Hemisphere (NH) circulation can be  
3 viewed in the context of three primary types of structures: 1) teleconnection patterns; 2)  
4 a barotropic annular mode; and 3) a baroclinic annular mode. The barotropic annular  
5 mode corresponds to the northern annular mode (NAM) and has been examined  
6 extensively in previous research. Here we examine the spatial structure and time  
7 dependent behavior of the NH baroclinic annular mode (NBAM).

8           The NAM and NBAM play very different roles in driving large-scale variability in  
9 the NH circulation. The NAM emerges as the leading principal component (PC) time  
10 series of the *zonal-mean* kinetic energy. It dominates the variance in the wave fluxes of  
11 momentum, projects weakly onto the eddy kinetic energy and wave fluxes of heat, and  
12 can be modeled as Gaussian red noise with a timescale of ~10 days. In contrast, the  
13 NBAM emerges as the leading PC time series of the *eddy* kinetic energy. It is most  
14 clearly identified when the planetary-scale waves are filtered from the data, dominates  
15 the variance in the synoptic-scale eddy kinetic energy and wave fluxes of heat, and has a  
16 relatively weak signature in the zonal-mean kinetic energy and the wave fluxes of  
17 momentum. Interestingly, the NBM is marked by enhanced spectral power on  
18 timescales of ~20-25 days.

19           The NBAM is remarkably similar to its Southern Hemisphere counterpart,  
20 despite the pronounced interhemispheric differences in orography and land/sea  
21 contrasts. The annular scale of the NBAM is consistent with the weak but significant  
22 correlations between synoptic-scale eddy activity over the Atlantic and Pacific sectors of  
23 the NH.

## 25 **1. Introduction**

26 Large scale variability in the extratropical circulation is often examined in the  
27 context of two primary classes of structures: “teleconnection patterns” and “annular  
28 modes”.

29 Teleconnection patterns are generally defined on the basis of significant negative  
30 correlations between widely separated points in the geopotential height field (e.g.,  
31 Wallace and Gutzler 1981). By construction, they explain large fractions of the  
32 geopotential height and wind variance within specific regions of the hemisphere. In  
33 contrast, annular modes are typically defined as the leading empirical orthogonal  
34 functions of the hemispheric-scale geopotential height and/or zonal wind fields (e.g.,  
35 Kidson 1988; Hartmann and Lo 1998; Thompson and Wallace 2000). They do not  
36 necessarily account for large fractions of the variance in the circulation within specific  
37 sectors of the hemisphere. But by construction, they account for large fractions of the  
38 variance integrated over the entire hemisphere.

39 Teleconnection patterns frequently have a notable *zonally asymmetric*  
40 component. For example, the Pacific-North America pattern is characterized by  
41 wavelike anomalies in the geopotential height field that stretch along a great circle route  
42 from the central north Pacific to eastern North America (Wallace and Gutzler 1981;  
43 Quadrelli and Wallace 2004); the North Atlantic Oscillation is characterized by north-  
44 south fluctuations in the geopotential height field that have largest amplitude in the  
45 North Atlantic sector (e.g., Wallace and Gutzler 1981; Hurrell 1995). In contrast, the  
46 annular modes have a pronounced *zonally symmetric* component. Both the Northern  
47 and Southern Hemisphere annular modes (the NAM and SAM) are characterized by  
48 barotropic fluctuations in the geopotential height field that exhibit a high degree of

49 longitudinal symmetry. The NAM may be viewed as the hemispheric expression of the  
50 North Atlantic Oscillation teleconnection pattern (Wallace 2000).

51 In recent work (Thompson and Woodworth 2014; hereafter TW), we have argued  
52 that large scale variability in the extratropical flow of the Southern Hemisphere (SH)  
53 can be examined in the context of a third type of structure: a *baroclinic* annular mode.  
54 The key results of TW are the following:

55

56 1) The SAM is the leading pattern of variability in the SH *zonal-mean kinetic*  
57 *energy*, and it may be viewed as a *barotropic* annular mode. It explains large  
58 fractions of the variance in the wave fluxes of momentum, but has a very weak  
59 projection onto the eddy fluxes of heat and the eddy kinetic energy.

60 2) In contrast, the leading pattern of variability in the SH *eddy kinetic energy*  
61 may be viewed as a *baroclinic* annular mode. Like the SAM, the southern  
62 baroclinic annular mode has a distinct zonally symmetric component. But  
63 unlike the SAM, it projects strongly onto the eddy fluxes of heat, and only  
64 weakly onto the zonal-mean kinetic energy and eddy fluxes of momentum.

65 3) The SAM and its baroclinic counterpart play very different roles in SH climate  
66 variability. They have contrasting roles in the extratropical energy cycle. They  
67 have very different projections on surface climate. And notably, they have very  
68 different signatures in the frequency domain: the SAM can be modeled as  
69 Gaussian red noise with a timescale of ~10 days (Hartmann and Lo 1998;  
70 Lorenz and Hartmann 2001); the southern baroclinic annular mode exhibits  
71 marked variability on ~20-30 timescales (TW; Thompson and Barnes 2014).

72

73 The purpose of this paper is to extend the analyses of TW to the Northern  
74 Hemisphere (NH). We will demonstrate that the NH circulation exhibits a baroclinic  
75 annular mode that is very similar to its SH counterpart despite the notable  
76 interhemispheric differences in orography and land-sea contrasts. In Section 3, we  
77 develop a procedure for identifying baroclinic annular variability in the NH. In Section  
78 4, we investigate the signature of the NH baroclinic annular mode in the zonal-mean  
79 circulation, and contrast it to the NH barotropic annular mode (the NAM). In Section 5,  
80 we investigate the “annularity” of NH baroclinic annular mode and the inferred  
81 teleconnectivity between eddy activity in the North Atlantic and North Pacific sectors. In  
82 Section 6, we examine the spectral characteristics of the NH baroclinic annular mode.  
83 Conclusions are provided in Section 7.

84

## 85 **2. Data/methods**

86 All analyses are based on the European Centre for Medium-Range Weather  
87 Forecasts Interim reanalysis data set (ERA-Interim; Dee et al. 2011). The reanalyses  
88 output are available on a  $1.25^\circ \times 1.25^\circ$  mesh and at 4 x daily resolution. The results are  
89 based on daily-mean versions of the data for the period 1979-2011. Daily mean  
90 precipitation is calculated by averaging total precipitation at 00 and 12UTC at forecast  
91 steps of 6 and 12 hours. Anomalies are formed by subtracting the long-term mean  
92 seasonal cycle from the data at all time steps.

93 Throughout the study, brackets denote zonal-mean quantities and \* departures from  
94 the zonal-mean. The zonal-mean eddy kinetic energy is defined as  $\frac{1}{2}[u^{*2} + v^{*2}]$ ; the  
95 zonal-mean eddy fluxes of momentum as  $[u^* v^*]$ ; and the zonal-mean eddy fluxes of heat

96 as  $[v^*T^*]$ . Eddy fluxes are calculated at 4 x daily resolution and averaged to form daily  
97 mean versions of the fluxes.

98 In cases where we use empirical orthogonal function/principal component  
99 (EOF/PC) analyses, the data are weighted by the square root of the cosine of latitude  
100 and the mass represented by each vertical level in the ERA-Interim before calculating  
101 the covariance matrix of the data.

102 As discussed in Section 3, the wind data used to identify baroclinic annular  
103 variability are spatially filtered to remove the contributions of planetary-scale eddies to  
104 the eddy kinetic energy. Planetary scale eddies are defined here as variations on spatial  
105 scales of zonal wavenumbers 1-3; synoptic scale eddies as variations on spatial scales of  
106 zonal wavenumbers 4 and higher.

107 The statistical significance of all correlations is assessed using the  $t$ -statistic, where  
108 the effective number of degrees of freedom ( $N^*$ ) is estimated as:

$$109 \quad N^* = N \frac{1 - r_1 r_2}{1 + r_1 r_2} \quad (1)$$

110 where  $N$  is the number of time steps used in the correlations, and  $r_1$  and  $r_2$  are the  
111 lag-one autocorrelations of the time series being correlated.

112 Power spectra for time series that span all calendar days are found by: 1) Calculating  
113 the spectra for subsets of the time series that are 500 days in length with 250 days  
114 overlap between adjacent subsets. Split-cosine-bell tapering is applied to 5% of the data  
115 on each end of the subset time series; 2) Averaging the power spectra over all subsets of  
116 the time series; and 3) Applying a 3 point running mean to the resulting mean power  
117 spectrum. Power spectra for time series limited to the warm and cold seasons are based

118 on subsets that are 183 days in length for the warm season (April-September) and 182  
119 days in length for the cold season (October-March) with no overlap between subsets.

120

### 121 **3. Defining baroclinic annular variability in the Northern Hemisphere**

122 In this section, we develop an index for characterizing baroclinic annular variability  
123 in the NH. Throughout the study, the southern and northern *barotropic* annular modes  
124 are denoted as the SAM and NAM, respectively, whereas the southern and northern  
125 *baroclinic* annular modes are denoted as the SBAM and NBAM, respectively.

126 The left column in Figure 1 reviews the latitude/lag structure of the southern  
127 baroclinic annular mode (the SBAM) in two key fields: the zonal-mean eddy fluxes of  
128 heat at 850 hPa and the eddy kinetic energy at 300 hPa. As in TW, the SBAM is defined  
129 as the leading PC time series of the zonal-mean eddy kinetic energy for all levels and  
130 latitudes within the domain 1000-200 hPa and 20-70°S. By definition, the “positive  
131 polarity” of the SBAM is defined as periods when the hemispheric mean eddy kinetic  
132 energy is anomalously positive, and vice versa.

133 Figure 1a shows the unfiltered, zonal mean fields of the eddy fluxes of heat at 850  
134 hPa (shading) and the eddy kinetic energy at 300 hPa (contours) regressed onto the  
135 SBAM index as a function of lag and latitude. The figure is identical to Figure 3b from  
136 TW, but is calculated using a slightly different time period. As noted in that study, the  
137 positive polarity of the SBAM is associated with poleward eddy heat flux anomalies and  
138 positive eddy kinetic energy anomalies that span much of the SH middle latitudes. The  
139 heat flux anomalies precede the eddy kinetic energy anomalies by ~1-2 days, consistent  
140 with the time lag between the generation of wave activity in the lower troposphere and  
141 the generation of eddy kinetic energy aloft.

142 Figures 1c and 1e show the contributions of the synoptic and planetary scale waves to  
143 the regressions in the top panel (synoptic and planetary scale waves are defined in  
144 Section 2). As evidenced in the left column of Fig. 1, variations in the SBAM are  
145 associated almost entirely with eddies on synoptic spatial scales. The dominant role of  
146 synoptic scale eddies in variations of the SBAM is consistent with: 1) the relatively weak  
147 amplitudes of planetary-scale waves in the SH and 2) the notion that the SBAM owes its  
148 existence to two-way feedbacks between the baroclinicity and the eddy fluxes of heat by  
149 synoptic-scale waves (Thompson and Barnes 2014).

150 The right column in Figure 1 shows analogous results calculated for the NH. In all  
151 three panels, the regressions are based on the leading PC time series of the zonal-mean  
152 eddy kinetic energy for all levels and latitudes within the domain 1000-200 hPa and 20-  
153 70°N. As in the SH, the leading PC of NH eddy kinetic energy is marked by same sign  
154 fluctuations in both the eddy kinetic energy and eddy fluxes of heat that span much of  
155 the midlatitudes (Fig. 1b). However, unlike the SH, the anomalies have a relatively  
156 complicated spatial structure, and derive in roughly equal parts from synoptic and  
157 planetary scale eddies (Figs. 1d and 1f). Hence, the leading pattern of variability in the  
158 NH eddy kinetic energy field includes a notable contribution from the planetary scale  
159 eddies that is not reflected in association with the SBAM.

160 To the extent that baroclinic annular variability reflects the dynamics of baroclinic  
161 waves, it follows that a physically meaningful index of baroclinic annular variability  
162 should isolate the variance in the eddy kinetic field associated with synoptic-scale  
163 eddies. Filtering the eddy kinetic energy field to isolate the variance associated with  
164 synoptic-scale eddies is not necessary in the SH, where the planetary-scale waves have  
165 relatively weak amplitude. But as evidenced in Fig. 1, it is essential in the NH, where the

166 planetary scale waves make a prominent contribution to the leading EOF of the eddy  
167 kinetic energy. For this reason, we will define the time series of the northern baroclinic  
168 annular mode (the NBAM) as the leading PC time series of the eddy kinetic energy  
169 associated with wavenumbers 4 and higher. As done for the SH, the PC time series is  
170 calculated for all levels and latitudes within the domain 1000-200 hPa and 20-70°N.  
171 The patterns associated with the resulting NBAM index are explored in the following  
172 sections.

173

#### 174 **4. Structure of the NAM and NBAM in the zonal-mean circulation**

175         Figures 2-5 compare the structures of the NAM and NBAM in the extratropical  
176 zonal-mean circulation. The NAM index is defined as the leading PC time series of the  
177 anomalous daily-mean, zonal-mean zonal wind for all levels and latitudes in the domain  
178 1000-200 hPa and 20-70°N. As noted in Section 3, the NBAM index is defined as the  
179 leading PC time series of the eddy kinetic energy associated with zonal wavenumbers 4  
180 and higher. The NBAM index explains 43% of the variance in NH synoptic-scale eddy  
181 kinetic energy, and both the NAM and NBAM indices are statistically distinct from the  
182 second PCs of their respective fields (the variances explained by all PCs considered in  
183 this study are listed in Table 1). The positive polarity of the NBAM is defined as periods  
184 when the hemispheric mean eddy kinetic energy is anomalously positive, and vice versa.  
185 The positive polarity of the NAM is defined as periods when the zonal flow ~55°N is  
186 anomalous westerly, and vice versa. Unless otherwise noted, the fields regressed on the  
187 NBAM and NAM indices are *not* filtered.

188         The NAM and NBAM indices are only weakly correlated at all lags between -20 to  
189 + 20 days (not shown) and in 10 day low-pass data (Table 2). Roughly 98% of the

190 variance in the NAM on timescales longer than 10 days is independent of variability in  
191 the NBAM.

192

193 *a. Reviewing the signature of the NAM in the zonal-mean tropospheric circulation*

194 The left column in Fig. 2 reviews the latitude/height profiles of the NAM. As  
195 noted extensively in previous work (e.g., Thompson and Wallace 2000; Limpasuvan and  
196 Hartmann 2000), the NAM is marked by meridionally banded anomalies in the zonal-  
197 mean zonal flow, with primary centers of action located  $\sim 30^\circ\text{N}$  and  $\sim 55^\circ\text{N}$  (Fig. 2a). The  
198 regressions in Fig. 2a also indicate a third center of action in the zonal-flow near  $75^\circ\text{N}$ .  
199 The center of action near  $75^\circ\text{N}$  is restricted to the region to the north of Iceland (not  
200 shown), and is not evident in regressions based on the leading PC of the monthly-mean  
201 sea-level pressure (Thompson and Wallace 2000) or zonal wind (Lorenz and Hartmann  
202 2003). Likewise, it is much weaker when the time series used to generate Fig. 2a are 30  
203 day low pass filtered. Hence the center of action near  $75^\circ\text{N}$  is uniquely linked to NAM-  
204 like variability on submonthly timescales. A more detailed analysis of the subpolar  
205 center of action in the NAM is left for a future study. For the purpose of this study, it is  
206 worth emphasizing that the NAM index used here is very similar to that used in other  
207 studies (e.g., the correlation coefficient between monthly-mean values of the NAM index  
208 used here and the leading PC time series of the monthly-mean sea-level pressure field  
209  $20^\circ\text{-}70^\circ\text{N}$  is  $r=0.87$ ).

210 As also noted in previous work, the NAM is associated with: 1) poleward  
211 momentum fluxes centered near the tropopause  $\sim 45^\circ\text{N}$  (shading in Fig. 2a; Limpasuvan  
212 and Hartmann 2000; Lorenz and Hartmann 2003); 2) negative temperature anomalies  
213 at subpolar latitudes juxtaposed against warm temperature anomalies at middle

214 latitudes (shading in Figure 2c; Thompson and Wallace 2000); and 3) paired meridional  
215 overturning cells with rising motion at subpolar and tropical latitudes juxtaposed  
216 against sinking motion between about 30°-40°N (contours in Fig. 2c). The momentum  
217 flux anomalies precede the zonal-wind anomalies by several days, consistent with  
218 forcing of the zonal-mean flow by the advection of momentum by the eddies (Fig. 3a;  
219 Lorenz and Hartmann 2003). The temperature anomalies associated with the NAM are  
220 consistent with adiabatic expansion and compression driven by the attendant changes in  
221 vertical motion. The vertical motion anomalies, in turn, are consistent with forcing by  
222 the momentum fluxes aloft (Thompson and Wallace 2000). Note that the mass  
223 streamfunction has very small amplitude at high latitudes in part due to the relatively  
224 small area represented by the polar cap (Fig. 2c).

225         The signatures of the NAM in the zonal wind and eddy fluxes of momentum  
226 discussed above are consistent with north-south fluctuations in the extratropical jet  
227 (Lorenz and Hartmann 2003). The signatures of the NAM in the eddy kinetic energy  
228 and eddy heat flux anomalies are more difficult to interpret (Fig. 2e). To the extent that  
229 the heat fluxes and eddy kinetic energy follow vacillations in the jet, the positive polarity  
230 of the NAM should be accompanied not only by anomalously poleward momentum  
231 fluxes in the upper troposphere near 45°N, but also by increases in the eddy fluxes of  
232 heat and eddy kinetic energy near 55°N and decreases near 30°N. Neither feature is  
233 clearly apparent in Fig. 2e. As is the case for the SAM (TW), the signature of the NAM in  
234 the eddy fluxes of heat is both weak and amorphous throughout most of the  
235 midlatitudes (Fig. 2e).

236         The signature of the NAM in the eddy fluxes of heat and momentum is reviewed  
237 further in the left column of Fig. 4. Figure 4a shows the unfiltered wave fluxes of

238 momentum (contours; reproduced from shading in Fig. 3a) and heat (shading)  
239 regressed on the NAM index as a function of lag and latitude. Figures 4c and 4e show  
240 the components of the regressions that are due to synoptic (wavenumbers 4 and higher)  
241 and planetary-scale (wavenumbers 1-3) waves, respectively. Note that in contrast to the  
242 diagnostics presented in DeWeaver and Nigam (2000), Feldstein (2003), and Lorenz  
243 and Hartmann (2003), the wave fluxes in Fig. 4 are spatially rather than time filtered.

244 As evidenced in Figs. 4c and 4e, the preponderance of the eddy momentum flux  
245 anomalies associated with the NAM are due to variations in synoptic-scale waves. In  
246 contrast, a large fraction of the eddy heat flux anomalies associated with the NAM are  
247 due to variations in the planetary-scale waves (Fig. 4e), particularly the meridional  
248 dipole in eddy heat flux anomalies centered around lag 0. The most pronounced  
249 signature of the NAM in the synoptic-scale wave fluxes of heat is found at positive lag  
250 near 50°N (Fig. 4c), and is consistent with the influence of the momentum fluxes aloft  
251 on lower tropospheric baroclinicity (Lorenz and Hartmann 2003).

252

### 253 *b. The signature of the NBAM in the zonal-mean tropospheric circulation*

254 The structure of the NBAM in the extratropical circulation is shown in the right  
255 columns of Figs. 2-4. In contrast to the NAM but like its SH counterpart (TW), the  
256 NBAM has a weak signature in the zonal-mean zonal wind and wave fluxes of  
257 momentum but a pronounced signature in the zonal-mean eddy kinetic energy and wave  
258 fluxes of heat (Figs. 2b and 2f). The NBAM is hence associated with hemispheric scale  
259 fluctuations in both the generation of eddies in the lower troposphere (as inferred by the  
260 vertical gradient in the eddy fluxes of heat) and their amplitudes aloft (as inferred by the  
261 eddy kinetic energy). The eddy heat flux anomalies precede the eddy kinetic energy

262 anomalies by ~1-2 days, consistent with the generation of upper tropospheric eddy  
263 kinetic energy by developing baroclinic waves in the free troposphere (Fig. 3b).

264 Also like its SH counterpart (TW), the NBAM is marked by warm temperature  
265 anomalies at middle latitudes (shading in Fig. 2d) that are consistent with warming by  
266 the convergence of the wave fluxes of heat there (shading in Fig. 2f). In contrast to the  
267 NAM, the changes in vertical motion are thermally driven, i.e., the midlatitude warming  
268 is overlaid by upwards rather than downwards motion (contours in Fig 2d).

269 In part by construction, the anomalous eddy fluxes of heat and momentum  
270 associated with the NBAM are dominated by synoptic scale eddies (right column in Fig.  
271 4). Consistent with the barotropic decay stage of baroclinic waves (Simmons and  
272 Hoskins 1978), the NBAM is marked by positive anomalies in the wave fluxes of  
273 momentum that lag and lie slightly equatorward of the positive anomalies in the wave  
274 fluxes of heat (Fig. 4d).

275

### 276 *c. Summarizing the differences between the NAM and NBAM*

277 As is the case in the SH, the barotropic (the NAM) and baroclinic (the NBAM)  
278 northern annular modes have very different signatures in the extratropical circulation.  
279 The NAM emerges as the leading PC of the zonal-mean kinetic energy (Table 2). It is  
280 driven by the wave fluxes of momentum (Fig. 3a), and has a weak secondary signature  
281 in the synoptic-scale wave fluxes of heat that is consistent with the influence of the  
282 momentum fluxes on tropospheric baroclinicity (Fig. 4c). The NAM hence explains a  
283 large fraction of the variance in the zonal-mean zonal wind and eddy fluxes of  
284 momentum (left panels in Fig. 5; solid lines), but a relatively small fraction of the

285 variance in the zonal-mean eddy kinetic energy and eddy fluxes of heat (left panels in  
286 Fig. 5; dashed lines).

287 In contrast, the NBAM emerges as the leading EOF of the eddy kinetic energy. It  
288 is driven by the wave fluxes of heat (Fig. 3b), and has a secondary signature in the wave  
289 fluxes of momentum that is consistent with the baroclinic wave lifecycle (Fig. 4d). The  
290 NBAM hence explains a notable fraction of the variance in the zonal-mean eddy kinetic  
291 energy and eddy fluxes of heat (right panels in Fig. 5; dashed lines), but a very small  
292 fraction of the variance in the zonal-mean zonal wind and eddy fluxes of momentum  
293 (right panels in Fig. 5; solid lines). The NBAM explains a smaller fraction of the variance  
294 in the eddy kinetic energy than the NAM does in the zonal-mean kinetic energy (bottom  
295 panels).

296 The results shown in this section are based on data for all calendar months. The  
297 NBAM also emerges as the leading PC of synoptic-scale eddy kinetic energy in analyses  
298 performed separately for the warm and cold season months. For example, the  
299 correlations between 1) warm season (April-September) segments of the NBAM index  
300 and 2) the leading PC of warm season synoptic-scale eddy kinetic energy is  $r \sim 0.99$ . As is  
301 the case for the NAM (Thompson and Wallace 2000), the primary difference in the  
302 NBAM between the winter and summer seasons is that its amplitude peaks during the  
303 cold season months.

304 In the following section we will assess the “annularity” of the NBAM and the  
305 inferred linkages between synoptic eddy activity over the two primary NH stormtrack  
306 regions.

307

308

## 309 **5. The annularity of the NBAM / linkages between the stormtracks**

310 The robust signature of the NBAM in the zonal-mean circulation suggests that it  
311 exhibits a high degree of annularity. In this section, we examine to what extent the  
312 NBAM reflects: 1) the dominant pattern of variability in the longitudinally-varying  
313 circulation; and 2) coordinated variability in synoptic activity between the two major  
314 NH storm track regions. The “annularity” of barotropic annular modes – including the  
315 NAM - has been discussed at-length in the literature (e.g., Wallace 2000; Gerber and  
316 Vallis 2005) and will be examined only briefly here.

### 317 318 *a. The leading patterns of variability in the longitudinally-varying circulation*

319 Figure 6 shows the zonally-varying, unfiltered eddy-kinetic energy at the 300 hPa  
320 level regressed onto the NBAM index. The resulting pattern suggests that the NBAM is  
321 associated with variations in the amplitude of synoptic-scale waves in both the North  
322 Pacific and North Atlantic stormtracks. Over the Pacific sector, it is marked by maxima  
323 over the Kuroshio extension region and the central North Pacific. Over the Atlantic  
324 sector, it is characterized by maxima over the Gulf Stream extension region and the  
325 central North Atlantic to the west of Spain.

326 To what extent does the hemispheric scale structure of the NBAM emerge from  
327 PC analyses of the longitudinally varying flow? Figure 7c shows the correlations between  
328 a) the leading PCs of the longitudinally varying synoptic-scale eddy kinetic energy  
329 calculated as a function of latitude; and b) the NBAM index. Figure 7d shows the  
330 corresponding variances explained by the first two PCs of the longitudinally varying  
331 synoptic-scale eddy kinetic energy as a function of latitude, where the error bars  
332 correspond to the criterion outlined in North et al. (1981). For example, the leading PC

333 of the longitudinally varying synoptic-scale eddy kinetic energy along 50°N is well  
334 separated from the second PC at 50°N (Fig. 7d), and is correlated with the NBAM index  
335 at a level of  $r \sim 0.8$  (Fig. 7c). Figures 7a and 7b show the corresponding results for the  
336 zonal-mean zonal wind field and the NAM index.

337         The leading PCs of the longitudinally varying synoptic-scale eddy kinetic energy  
338 field are highly correlated with the NBAM index throughout middle latitudes (right  
339 panels in Fig. 7). Likewise, the leading PCs of the longitudinally varying zonal wind field  
340 are strongly correlated with the NAM index time series at latitudes that lie within the  
341 primary centers of action of the NAM (left panels in Fig. 7). Hence, the NAM and NBAM  
342 emerge as the leading EOFs of both the zonal-mean and zonally varying components of  
343 the zonal wind and eddy-kinetic energy fields, respectively.

344

#### 345 *b. Connections between the stormtracks*

346         The annularity of the NBAM implies a level of teleconnectivity between the  
347 amplitude of synoptic-scale eddy kinetic energy in the North Pacific and Atlantic  
348 stormtracks. Several studies have argued that variations in upper tropospheric  
349 baroclinic activity in the two stormtracks are significantly correlated (e.g., Chang and Fu  
350 2002; Chang 2004; Li and Lau 2012). But others have noted that the correlations  
351 between the stormtracks are very weak (Wettstein and Wallace 2010). The correlations  
352 between synoptic-scale eddy kinetic energy in the two stormtracks are investigated  
353 further below.

354         First, we examine to what extent the structure of the NBAM emerges from  
355 analyses of the circulation over the North Atlantic and North Pacific sectors of the  
356 hemisphere. The results in Fig. 8 are identical to those shown in the right column of Fig.

357 2, but are derived from analyses restricted to 70°W-110°E (the North Atlantic sector)  
358 and 110°E-70°W (the North Pacific sector). For example: The  $NBAM_{Atlantic}$  index is  
359 defined as the leading PC of the synoptic-scale eddy-kinetic energy from 1000-200 hPa  
360 averaged 70°W-110°E, and the results in the left column of Fig. 8 show unfiltered data  
361 averaged 70°W-110°E regressed on standardized values of the  $NBAM_{Atlantic}$  index. The  
362 right column shows analogous results calculated for the North Pacific sector.

363 The key result in Fig. 8 is that the leading patterns of variability in the amplitude  
364 of synoptic-scale eddy activity in the Atlantic and Pacific sectors of the NH both bear  
365 strong resemblance to the NBAM. Both are marked by a monopole in the eddy fluxes of  
366 heat and eddy kinetic energy centered ~40-45°N (Figs. 8e and 8f); both exhibit positive  
367 temperature anomalies that peak ~400hPa near 50°N (Figs. 8c and 8d); and both have  
368 a relatively weak signature in the zonal wind field (Figs. 8a and 8b; the momentum flux  
369 anomalies associated with the  $NBAM_{Atlantic}$  index are shifted slightly equatorward of  
370 their Pacific counterparts).

371 The  $NBAM_{Atlantic}$  and  $NBAM_{Pacific}$  indices are correlated with the NBAM index at  
372 levels of  $r=0.77$  and  $r=0.79$ . Hence they contribute roughly equally to variations in the  
373 NBAM index. The  $NBAM_{Atlantic}$  and  $NBAM_{Pacific}$  indices are also significantly linked to  
374 each other. Figure 9 shows the correlations between the  $NBAM_{Atlantic}$  and  $NBAM_{Pacific}$   
375 indices as a function of lag. The leading patterns of synoptic-scale eddy kinetic energy  
376 over the North Pacific sector and North Atlantic sectors are significantly linked to each  
377 other, particularly when the North Pacific sector leads the North Atlantic sector by ~3-4  
378 days. The correlations between the two sectors are most pronounced during the cold  
379 season months (not shown).

380           The linkages between eddy kinetic energy in the two storm track regions is  
381 further evidenced in the lag regressions of (unfiltered) eddy-kinetic energy at the 300  
382 hPa level onto the  $NBAM_{Pacific}$  index. At lag 0 (Fig. 10a), the eddy kinetic energy  
383 anomalies associated with the  $NBAM_{Pacific}$  index have large amplitude over the Pacific  
384 sector but do not project onto eddy kinetic energy over the North Atlantic sector. At  
385 successive lags, the eddy kinetic anomalies not only decay over the Pacific sector, but  
386 appear to propagate/advect downstream towards the North Atlantic sector in a manner  
387 consistent with that shown in Chang and Li (1999) and Li and Lau (2012). Roughly ~3-4  
388 days after peak amplitude in the  $NBAM_{Pacific}$  index (panels d and e), the North Atlantic  
389 stormtrack is marked by positive anomalies in eddy kinetic energy centered over the  
390 Gulf Stream extension region. The linkages between eddy kinetic energy in the Pacific  
391 sector and over the Gulf Stream extension region ~3-4 days later are statistically  
392 significant at the 99% confidence level (Figs. 10d, 10e and 11). The downstream  
393 advection of eddy-kinetic energy anomalies from the Atlantic to the Pacific sector is  
394 much less clear (not shown).

395           The results shown in Figs. 9-11 confirm that the linkages between the stormtracks  
396 are weak (Wettstein and Wallace 2010). However, consistent with Chang (2004) and Li  
397 and Lau (2012), they also suggest that the linkages are significant, particularly when the  
398 Pacific stormtrack leads the Atlantic stormtrack by several days. The weak but  
399 significant “seeding” of eddy kinetic energy from the North Pacific to North Atlantic  
400 storm tracks is seemingly large enough to give rise to a zonally symmetric leading PC of  
401 the hemispheric eddy kinetic energy field.

402

403

## 404 **6. Quasi-periodic behavior in the NBAM**

405 The southern baroclinic annular mode exhibits quasi-periodic variability on  
406 timescales of  $\sim 20$ -30 days (TW). The quasi-periodic behavior in the SBAM is consistent  
407 with two-way feedbacks between the extratropical baroclinicity and the eddy fluxes of  
408 heat by baroclinic waves, and extends to large-scale averages of eddy kinetic energy, the  
409 eddy fluxes of heat, and precipitation (Thompson and Barnes 2014). Below we  
410 investigate to what extent analogous quasi-periodic behavior is evident in association  
411 with the NBAM.

412 The top panel in Figure 12 shows the spectrum of the NBAM index calculated for  
413 data for all calendar months (details of the calculation are provided in Section 2). The  
414 NBAM index time series exhibits enhanced spectral power centered around  $\sim 25$  days  
415 ( $\sim 0.04$  cpd). The peak in the spectrum is weaker than the corresponding peak in the  
416 SBAM (TW, c.f. Fig. 12), but is clearly reproducible in subsets of the data (Fig. 13a and  
417 13b). Interestingly, the spectral peak in the NBAM index derives primarily from the  
418 summer season, as evidenced in the middle and lower panels of Fig. 12 (the differences  
419 in spectral resolutions between the year-round and seasonal results are due to the  
420 subset lengths used in the calculations; Section 2). The wintertime spectrum exhibits  
421 enhanced power in the  $\sim 20$ -25 day range, but the spectral peak is much weaker than its  
422 summertime counterpart. Both the pronounced spectral peak during summer and the  
423 lack of a robust peak during winter are reproducible in both halves of the data record  
424 (Fig. 13 middle and bottom panels). The lack of a robust peak during the cold season is  
425 consistent with Ambaum and Novak (2013), who did not find evidence of statistically  
426 significant periodicity in the North Atlantic stormtrack during the winter season.

427           The spectral peak in the NBAM during the warm season months extends to  
428 various indices of synoptic eddy activity. It is apparent in the spectrum of the  
429 hemispheric-mean synoptic-scale eddy kinetic energy at 300 hPa (Fig. 14a). It is  
430 apparent in the spectrum of the hemispheric-mean eddy fluxes of heat by synoptic-scale  
431 eddies (Fig. 14b). And like its SH analogue, it extends to hemispheric-mean  
432 precipitation, albeit the peak in precipitation is less pronounced than it is in the eddy  
433 fluxes of heat (Fig. 14c). The spectral peak in the NBAM is examined in more detail in a  
434 companion paper (Thompson and Barnes 2015).

435

## 436 **7. Concluding remarks**

437           Figure 15 compares the structure of the NBAM with its SH counterpart. The two  
438 patterns are remarkably similar. Both are characterized by hemispheric-scale  
439 monopoles in the eddy kinetic energy and eddy fluxes of heat (bottom panels). Both  
440 have very weak signatures in the wave fluxes of momentum and the zonal-mean zonal  
441 flow (top panels). And both are associated with changes in vertical motion and  
442 temperature that are consistent with the circulation response to the anomalous fluxes of  
443 heat (middle panels, i.e., the regions poleward of the maximum heat flux anomalies are  
444 marked by anomalously warm conditions and anomalous rising motion).

445           The NBAM is also reminiscent of the leading patterns of stormtrack variability  
446 identified in previous work. Large-scale “pulsation” of eddy activity also emerges in PC  
447 analyses of: 1) the variance of the 10 day high-pass filtered upper tropospheric  
448 meridional wind (Wettstein and Wallace 2010) and 2) the rms 2.5-6 day band-pass  
449 filtered middle tropospheric geopotential height field (Lau 1988). A key distinction  
450 between the NBAM and the modes of pulsing stormtrack activity identified in previous

451 studies lies in their zonal scales. Wettstein and Wallace (2010) note that the linkages  
452 between variability in the North Pacific and North Atlantic stormtracks are very weak,  
453 and thus focus primarily on patterns of stormtrack activity within the two ocean basins.  
454 The results shown here suggest that the linkages between eddy activity in the Pacific and  
455 Atlantic stormtrack regions are statistically significant and are seemingly large enough  
456 to give rise to a hemispheric-scale leading EOF of stormtrack variability.

457         A notable distinction between the analyses used to identify baroclinic annular  
458 variability in the Southern and Northern Hemispheres lies in the filtering of the wave  
459 fluxes. The baroclinic annular modes are consistent with two-way feedbacks between  
460 the baroclinicity and the wave fluxes of heat by synoptic-scale waves (Thompson and  
461 Barnes 2014). In the SH, the total variance in the eddy kinetic energy is dominated by  
462 waves on synoptic scales and thus the SBAM emerges from PC analysis of the full eddy  
463 kinetic energy field. However, in the NH the total variance in the eddy kinetic energy  
464 field includes a substantial contribution from the planetary-scale waves. For this reason,  
465 the NBAM emerges most clearly from PC analysis of the eddy kinetic energy field after  
466 the variance due to planetary-scale waves has been filtered from the data.

467         As is the case in the SH, the northern baroclinic and barotropic annular modes  
468 (the NBAM and NAM) together account for large fractions of the variability in the  
469 cycling of energy through the NH circulation. The NBAM accounts for large fractions of  
470 the variance in 1) the conversions between available zonal-mean and eddy potential  
471 energy and 2) the eddy kinetic energy. The NAM accounts for large fractions of the  
472 variance in 1) the conversions between eddy and zonal-mean kinetic energy and 2) the  
473 zonal-mean kinetic energy.

474           Also like the SH, the NBAM exhibits notable periodicity on timescales of ~20-25  
475 days. The periodicity in the NBAM is most pronounced during the summer season when  
476 it extends to the eddy fluxes of heat by synoptic eddies and also precipitation. The  
477 dynamics and implications of the periodicity in the NBAM will be examined in the  
478 companion study (Thompson and Barnes 2015).

479

480 **References**

- 481 Ambaum, M. H. P., and L. Novak, 2014: A nonlinear oscillator describing storm track  
482 variability. *Quart. J. Roy. Meteor. Soc.*, 139. doi:10.1002/qj.2352.
- 483 Chang, E. K. M., and D. B. Yu, 1999: Characteristics of wave packets in the upper  
484 troposphere. Part I: Northern Hemisphere winter. *J. Atmos. Sci.*, 56, 1708–1728.
- 485 Chang, E. K. M., and Y. Fu, 2002: Interdecadal variations in Northern Hemisphere  
486 winter storm track intensity. *J. Climate*, 15, 642–658.
- 487 Chang, E. K. M., 2004: Are the Northern Hemisphere winter storm tracks significantly  
488 correlated? *J. Climate*, 17, 4230–4244.
- 489 Dee, D. P., and Coauthors, 2011: The ERA-Interim reanalysis: Configuration and  
490 performance of the data assimilation system. *Quart. J. Roy. Meteor. Soc.*, 137, 553–  
491 597, doi:10.1002/qj.828.
- 492 DeWeaver, E. and S. Nigam, 2000: Do Stationary Waves Drive the Zonal-Mean Jet  
493 Anomalies of the Northern Winter? *J. Climate*, 13, 2160–2176.
- 494 Feldstein, S. B., 2003: The dynamics of NAO teleconnection pattern growth and decay.  
495 *Quarterly Journal of the Royal Meteorological Society* 129:589, 901-924
- 496 Gerber, E. P., and G. K. Vallis, 2005: A Stochastic Model for the Spatial Structure of  
497 Annular Patterns of Variability and the North Atlantic Oscillation. *J. Climate*, 18,  
498 2102–2118.
- 499 Hartmann, D. L. and F. Lo, 1998: Wave-driven zonal flow vacillation in the Southern  
500 Hemisphere. *J. Atmos. Sci.*, 55, 1303–1315.
- 501 Hurrell, J. W., 1995: Decadal trends in the North Atlantic Oscillation: Regional  
502 temperatures and precipitation. *Science*, 269, 676–679.
- 503 Kidson, J. W., 1988: Interannual variations in the Southern Hemisphere circulation. *J.*

504 Climate, 1, 1177-1198.

505 Lau, N.-C., 1988: Variability of the observed midlatitude storm tracks in relation to low-  
506 frequency changes in the circulation pattern. *J. Atmos. Sci.*, 45, 2718–2743.

507 Li, Y., and N.-C. Lau, 2012: Contributions of Downstream Eddy Development to the  
508 Teleconnection between ENSO and the Atmospheric Circulation over the North  
509 Atlantic. *J. Climate*, 25, 4993–5010.

510 Limpasuvan, V. and D. L. Hartmann, 2000: Wave-maintained annular modes of climate  
511 variability. *J. Climate*, 13, 4414–4429.

512 Lorenz, D. J. and D. L. Hartmann, 2001: Eddy-zonal flow feedback in the Southern  
513 Hemisphere. *J. Atmos. Sci.*, 58, 3312–3327.

514 Lorenz, D. J., and D. L. Hartmann, 2003: Eddy–zonal flow feed- back in the Northern  
515 Hemisphere winter. *J. Climate*, 16, 1212– 1227.

516 Limpasuvan, V. and D. L. Hartmann, 2000: Wave-maintained annular modes of climate  
517 variability. *J. Climate*, 13, 4414–4429.

518 North, G. R., T. L. Bell, R. F. Cahalan, and F. J. Moeng, 1982: Sampling errors in the  
519 estimation of empirical orthogonal functions. *Mon. Wea. Rev.*, 110, 699–706.

520 Quadrelli, R., and J. M. Wallace, 2004: A simplified linear frame- work for interpreting  
521 patterns of Northern Hemisphere wintertime climate variability. *J. Climate*, 17,  
522 3728–3744.

523 Simmons, A. J., and B. J. Hoskins, 1978: The life cycles of some nonlinear baroclinic  
524 waves. *J. Atmos. Sci.*, 35, 414–432.

525 Thompson, D. W. J., and J. M. Wallace, 2000: Annular modes in the extratropical  
526 circulation. Part I: Month-to-month variability. *J. Climate*, 13, 1000–1016.

527 Thompson, D. W. J., and E. A. Barnes, 2014: Periodic Variability in the Large-Scale

528 Southern Hemisphere Atmospheric Circulation. *Science*, 343, 641-645.

529 Thompson, D. W. J., and J. D. Woodworth, 2014: Barotropic and baroclinic annular  
530 variability in the Southern Hemisphere. *J. Atmos. Sci.*, 71, 1480-1493.

531 Wallace, J. M., 2000: North Atlantic Oscillation/Annular Mode: Two paradigms—One  
532 phenomenon. *Quart. J. Roy. Meteor. Soc.*, 126, 791–805.

533 Wallace, J. M., and D. S. Gutzler, 1981: Teleconnections in the geopotential height field  
534 during the Northern Hemisphere winter. *Mon. Wea. Rev.*, 109, 784–812.

535 Wettstein, J.J., and J.M. Wallace, 2010: Observed Patterns of Month-to-Month Storm  
536 Track Variability and Their Relationship to the Background Flow. *J. Atmos. Sci.*, 67,  
537 1420-1437.

538

539 **Table Captions**

540 **Table 1:** Variance explained by the PCs considered in this study. The PCs are calculated  
541 for zonal-mean, daily-mean data between 1000-200 hPa and 20°–70°N for the fields  
542 indicated. ZKE denotes the zonal-mean kinetic energy;  $EKE_{WN4+}$  the zonal-mean eddy  
543 kinetic energy associated with zonal wavenumbers 4 and higher; Atlantic and Pacific  
544 denote data restricted to longitude bands 110°W–70°E, and 70°E–110°W, respectively;  
545 cold and warm denote data restricted to October–March and April–September,  
546 respectively. All leading PCs are well separated from the second PC as per the criterion  
547 outlined in North et al. (1982).

548 **Table 2:** Correlations between the leading PCs of the fields indicated. The PCs are  
549 described in association with Table 1. Correlations are computed between 10-day low  
550 pass filtered versions of the PC time series. Bold font indicates results that are  
551 significant at the 99% confidence level based on a two-tailed test of the  $t$ -statistic.

552

553 **Figure Captions**

554 **Figure 1:** Latitude/lag structure of the leading PCs of eddy kinetic energy (EKE) in the  
555 Southern Hemisphere (SH; left) and Northern Hemisphere (NH; right). (top) Daily-  
556 mean, zonal-mean values of the eddy fluxes of heat at 850 hPa (shading) and EKE at  
557 300 hPa (contours) regressed onto the leading PC time series of EKE. The PC time  
558 series are derived from analysis of unfiltered EKE within 1000-200 hPa and 20°-70°.  
559 (middle and bottom) Components of the regressions in the top panels that derive from  
560 synoptic (zonal wavenumbers 4 and higher) and planetary scale (zonal wavenumbers 1-  
561 3) waves. Negative lags denote the field leads the PC time series, and vice versa. Contour  
562 intervals are  $-3.5, 3.5, 10.5 \dots \text{m}^2 \text{s}^{-2}$ .

563  
564 **Figure 2:** Vertical structure of the northern annular mode (NAM) and northern  
565 baroclinic annular mode (NBAM) in the zonal-mean circulation. Results show daily-  
566 mean, zonal-mean values of the fields indicated regressed on standardized values of the  
567 NAM (left) and NBAM (right) indices. The NAM index is defined as the leading PC time  
568 series of NH zonal-mean zonal wind. The NBAM index is defined as the leading PC of  
569 NH synoptic-scale zonal-mean eddy kinetic energy. Results are based on daily-mean  
570 data for all calendar months. Regression coefficients are based on contemporaneous  
571 values of the data, except in the cases of  $[u^*v^*]$  and  $[v^*T^*]$ , in which the fluxes lead the  
572 NAM and NBAM indices by 1 day. Contour intervals are  $-0.5, 0.5, 1.5 \dots \text{m s}^{-1}$   
573 (top);  $-0.5, 0.5, 1.5 \times 10^9 \text{ kg s}^{-1}$  (middle);  $-3, 3, 9 \dots \text{m}^2 \text{s}^{-2}$  (bottom). Solid and dashed  
574 contours denote clockwise and counterclockwise motion in the middle panels.

575 **Figure 3:** Latitude/lag structure of NAM and NBAM in zonal-mean kinetic energy.  
576 Results show daily-mean, zonal-mean values of the fields indicated regressed on  
577 standardized values of the NAM (left) and NBAM (right) indices as a function of latitude  
578 and lag. The momentum fluxes, zonal wind and eddy kinetic energy are shown at 300  
579 hPa. The heat fluxes are shown at 850 hPa. Negative lags denote the field leads the base  
580 index, and vice versa. Contours are shown at  $-0.35, 0.35, 1.5 \dots \text{m s}^{-1}$  (left);  $-3.5, 3.5,$   
581  $10.5 \dots \text{m}^2 \text{s}^{-2}$  (right).

582 **Figure 4:** Wavenumber breakdown of the latitude/lag structure of NAM and NBAM in  
583 the eddy fluxes of heat and momentum. Results show daily-mean, zonal-mean values of  
584 the fields indicated regressed on standardized values of the NAM (left) and NBAM  
585 (right) indices as a function of latitude and lag. (top) unfiltered data (reproduced from  
586 the corresponding results in Fig. 3). (middle and bottom) the components of the  
587 regressions in the top panels that are due to synoptic (zonal wavenumbers 4 and higher)  
588 and planetary scale (zonal wavenumbers 1-3) waves. Negative lags denote the field leads  
589 the base index, and vice versa. Contour interval is  $4 \text{ m}^2 \text{ s}^{-2}$ .

590 **Figure 5:** Percent variance explained by the NAM (left) and NBAM (right) in vertically  
591 averaged values of the indicated fields. The time series and data are 10 day low-pass  
592 filtered to emphasize covariability on timescales longer than those associated with a  
593 typical baroclinic wave. The fields are vertically averaged between 950 and 250 hPa.

594 **Figure 6:** Horizontal structure of the NBAM in EKE at 300 hPa. Results show daily-  
595 mean eddy kinetic energy at 300 hPa regressed onto standardized values of NBAM  
596 index.

597 **Figure 7:** (a) Correlations between the NAM index and the leading PCs of the daily-  
598 mean, longitudinally-varying zonal wind. The PCs are calculated as a function of  
599 latitude. (b) Variances explained by the first and second PCs of the daily-mean,  
600 longitudinally-varying zonal wind. (c) As in (a), but for correlations between the NBAM  
601 index and the leading PCs of daily-mean, synoptic-scale eddy kinetic energy. (d) As in  
602 (b), but for the PCs of the daily-mean, synoptic-scale eddy kinetic energy. Error bars are  
603 derived from the significance test described in North et al. (1982). The PCs are  
604 calculated based on daily-mean data. The correlations are based on 10 day low-pass  
605 versions of the time series to emphasize covariability on timescale longer than those  
606 associated with a typical baroclinic wave

607 **Figure 8:** Structure of baroclinic annular variability over the North Atlantic and North  
608 Pacific sectors of the hemisphere. As in the right column of Figure 2, but for results  
609 calculated separately for the Atlantic (left; 70°W-110°E) and Pacific (right; 70°W-110°E)  
610 sectors of the hemisphere. The  $NBAM_{Atlantic}$  is defined as the leading PC time series of  
611 synoptic-scale EKE in the Atlantic sector from 1000-200 hPa; the  $NBAM_{Pacific}$  index as  
612 the leading PC time series of synoptic-scale EKE in the Pacific sector from 1000-200  
613 hPa.

614  
615 **Figure 9:** Lead/lag correlations between the  $NBAM_{Pacific}$  index and  $NBAM_{Atlantic}$  index.  
616 Negative lags denote the  $NBAM_{Atlantic}$  index leads the  $NBAM_{Pacific}$ , and vice versa. The  
617 horizontal dashed line indicates the 99% significance level based on a two-tailed test of  
618 the  $t$ -statistic.

619

620 **Figure 10:** Regressions of eddy kinetic energy at 300 hPa onto the NBAM<sub>Pacific</sub> index as  
621 a function of lag. Stippling indicates results that exceed 99% confidence level based on a  
622 two-tailed test of the *t*-statistic.

623 **Figure 11:** As in Fig. 9, but for lead/lag correlations between the NBAM<sub>Pacific</sub> index and  
624 eddy kinetic energy at 300 hPa averaged over Gulf Stream extension region (see black  
625 borders indicated in Fig. 10e). Horizontal line indicates 99% significance levels based on  
626 a two-tailed test of the *t*-statistic.

627 **Figure 12:** Power spectra of the NBAM index calculated from daily-mean data for (a)  
628 all calendar days, (b) warm season days only, (c) cold season days only. Warm and cold  
629 months are defined as April–September, and October–March, respectively. See text for  
630 details of the calculation.

631 **Figure 13:** As in Figure 12, but left panels show spectra of the NBAM for the first half of  
632 the data record, and right panels show spectra for the second half of the data record.

633 **Figure 14.** Power spectra of the a) synoptic-scale eddy kinetic energy at 300 hPa, b)  
634 synoptic-scale eddy fluxes of heat at 850 hPa, and c) precipitation averaged 30° to 70°N.  
635 Results are based on warm season data. Precipitation is based on ERA-Interim (see  
636 Section 2).

637 **Figure 15:** Comparing the vertical structures of the southern and northern baroclinic  
638 annular modes. Results show daily-mean, zonal-mean values of the fields indicated  
639 regressed on standardized values of the SBAM (left) and NBAM (right) indices. Results

640 for the NBAM are reproduced from Fig. 2 (right column). Results for the SBAM are  
641 reproduced from TW (but based on the period of record January 1979-December 2011).

TABLE 1. Variance explained by the PCs considered in this study. The PCs are calculated for zonal-mean, daily-mean data between 1000–200 hPa and 20°–70°N for the fields indicated. ZKE denotes the zonal-mean kinetic energy;  $EKE_{WN4+}$  the zonal-mean eddy kinetic energy associated with zonal wavenumbers 4 and higher; Atlantic and Pacific denote data restricted to longitude bands 110°W–70°E, and 70°E–110°W, respectively; cold and warm denote data restricted to October–March and April–September, respectively. All leading PCs are well separated from the second PC as per the criterion outlined in North et al. (1982).

Variance explained	U (NAM)	ZKE	$EKE_{WN4+}$ (NBAM)	$EKE_{WN4+}^{\text{Atlantic}}$	$EKE_{WN4+}^{\text{Pacific}}$	$EKE_{WN4+}^{\text{cold}}$	$EKE_{WN4+}^{\text{warm}}$
PC1	34.1	37.0	43.0	41.6	44.7	45.4	40.9
PC2	25.4	27.1	18.3	19.8	18.3	16.7	18.5

TABLE 2. Correlations between the leading PCs of the fields indicated. The PCs are described in association with Table 1. Correlations are computed between 10-day low pass filtered versions of the PC time series. Bold font indicates results that are significant at the 99% confidence level based on a two-tailed test of the  $t$ -statistic.

	PC1 $EKE_{WN4+}$ (NBAM)	PC1 ZKE	PC1 U (NAM)
PC1 $EKE_{WN4+}$ (NBAM)	<b>1</b>	<b>0.15</b>	<b>0.15</b>
PC1 ZKE	<b>0.15</b>	<b>1</b>	<b>0.85</b>

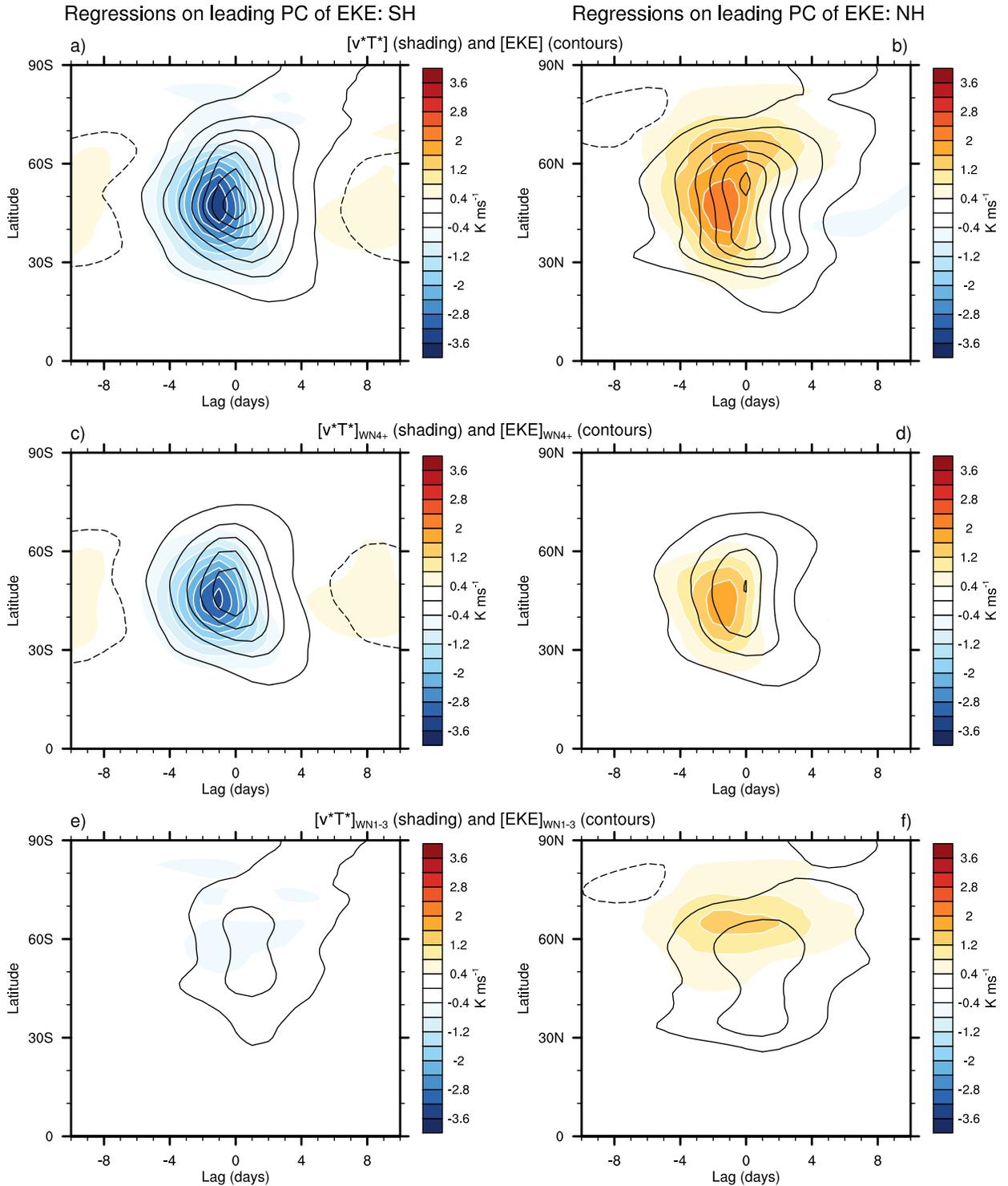


FIG. 1. Latitude/lag structure of the leading PCs of eddy kinetic energy (EKE) in the Southern Hemisphere (SH; left) and Northern Hemisphere (NH; right). (top) Daily-mean, zonal-mean values of the eddy fluxes of heat at 850 hPa (shading) and EKE at 300 hPa (contours) regressed onto the leading PC time series of EKE. The PC time series are derived from analysis of unfiltered EKE within 1000–200 hPa and  $20^{\circ}$ – $70^{\circ}$ . (middle and bottom) Components of the regressions in the top panels that derive from synoptic (zonal wavenumbers 4 and higher) and planetary scale (zonal wavenumbers 1–3) waves. Negative lags denote the field leads the PC time series, and vice versa. Contour intervals are  $-3.5, 3.5, 10.5 \dots \text{m}^2 \text{s}^{-2}$ .

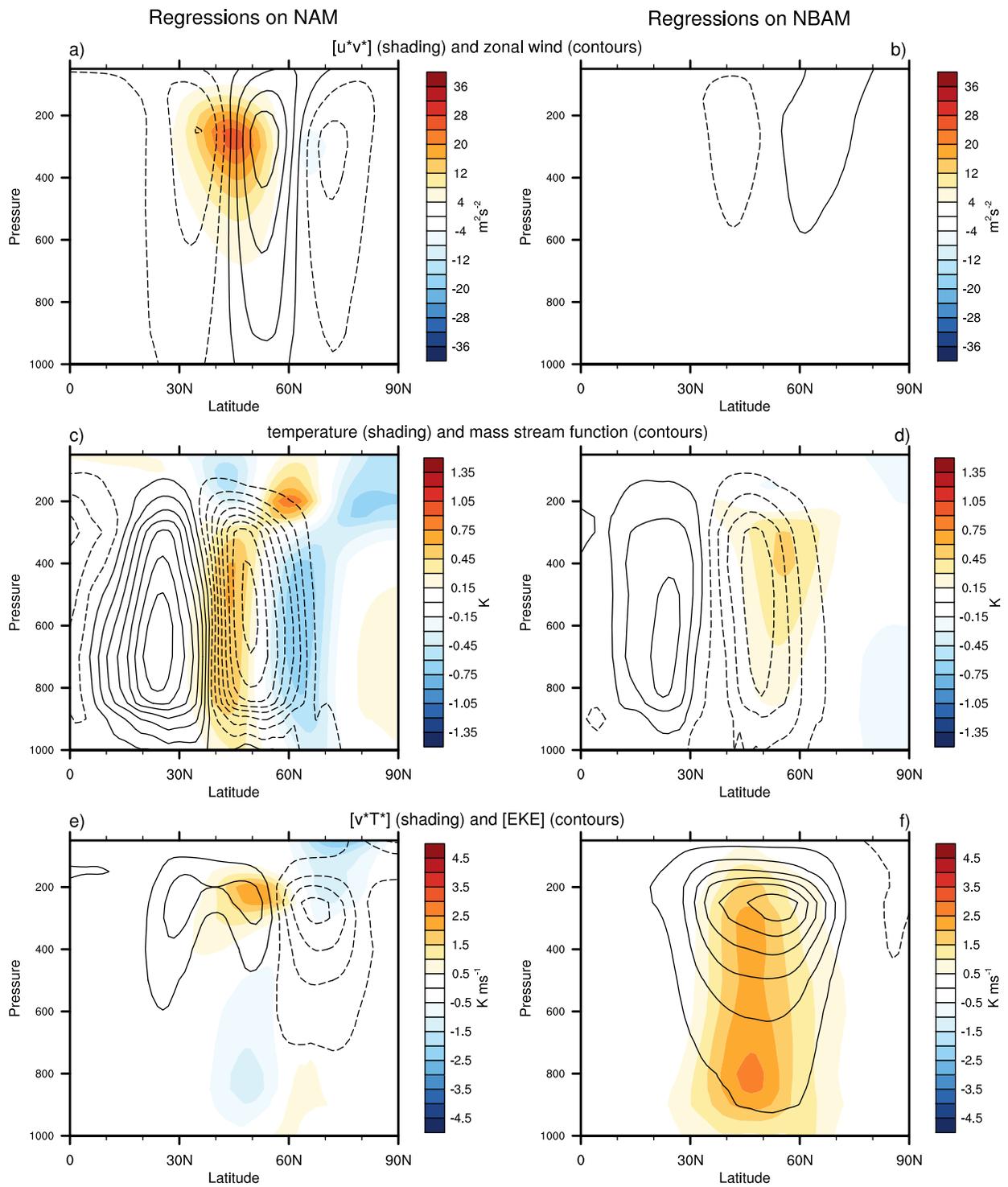


FIG. 2. Vertical structure of the northern annular mode (NAM) and northern baroclinic annular mode (NBAM) in the zonal-mean circulation. Results show daily-mean, zonal-mean values of the fields indicated regressed on standardized values of the NAM (left) and NBAM (right) indices. The NAM index is defined as the leading PC time series of NH zonal-mean zonal wind. The NBAM index is defined as the leading PC of NH synoptic-scale zonal-mean eddy kinetic energy. Results are based on daily-mean data for all calendar months. Regression coefficients are based on contemporaneous values of the data, except in the cases of  $[u^*v^*]$  and  $[v^*T^*]$ , in which the fluxes lead the NAM and NBAM indices by 1 day. Contour intervals are  $-0.5, 0.5, 1.5 \dots \text{m s}^{-1}$  (top);  $-0.5, 0.5, 1.5 \times 10^9 \text{kg s}^{-1}$  (middle);  $-3, 3, 9 \dots \text{m}^2 \text{s}^{-2}$  (bottom). Solid and dashed contours denote clockwise and counterclockwise motion in the middle panels.

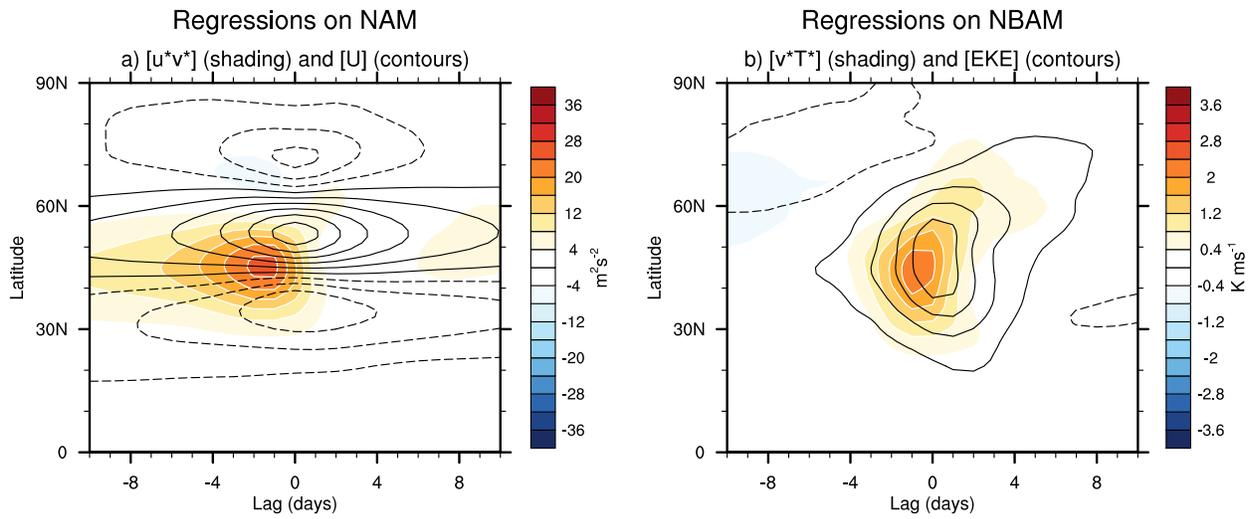


FIG. 3. Latitude/lag structure of NAM and NBAM in zonal-mean kinetic energy. Results show daily-mean, zonal-mean values of the fields indicated regressed on standardized values of the NAM (left) and NBAM (right) indices as a function of latitude and lag. The momentum fluxes, zonal wind and eddy kinetic energy are shown at 300 hPa. The heat fluxes are shown at 850 hPa. Negative lags denote the field leads the base index, and vice versa. Contours are shown at  $-0.35, 0.35, 1.5 \dots m s^{-1}$  (left);  $-3.5, 3.5, 10.5 \dots m^2 s^{-2}$  (right).

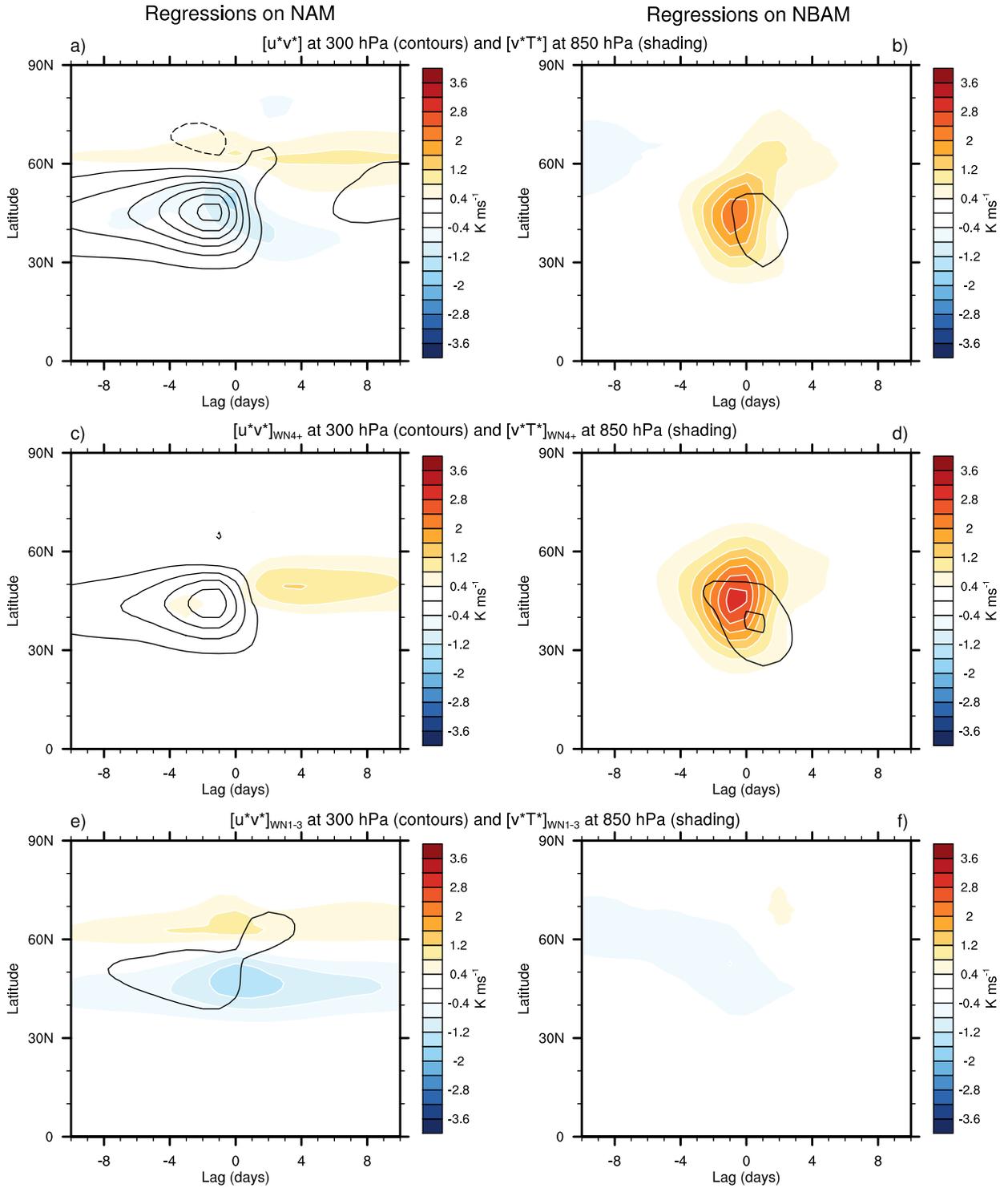


FIG. 4. Wavenumber breakdown of the latitude/lag structure of NAM and NBAM in the eddy fluxes of heat and momentum. Results show daily-mean, zonal-mean values of the fields indicated regressed on standardized values of the NAM (left) and NBAM (right) indices as a function of latitude and lag. (top) unfiltered data (reproduced from the corresponding results in Fig. 3). (middle and bottom) the components of the regressions in the top panels that are due to synoptic (zonal wavenumbers 4 and higher) and planetary scale (zonal wavenumbers 1-3) waves. Negative lags denote the field leads the base index, and vice versa. Contour interval is  $4 \text{ m}^2 \text{ s}^{-2}$ .

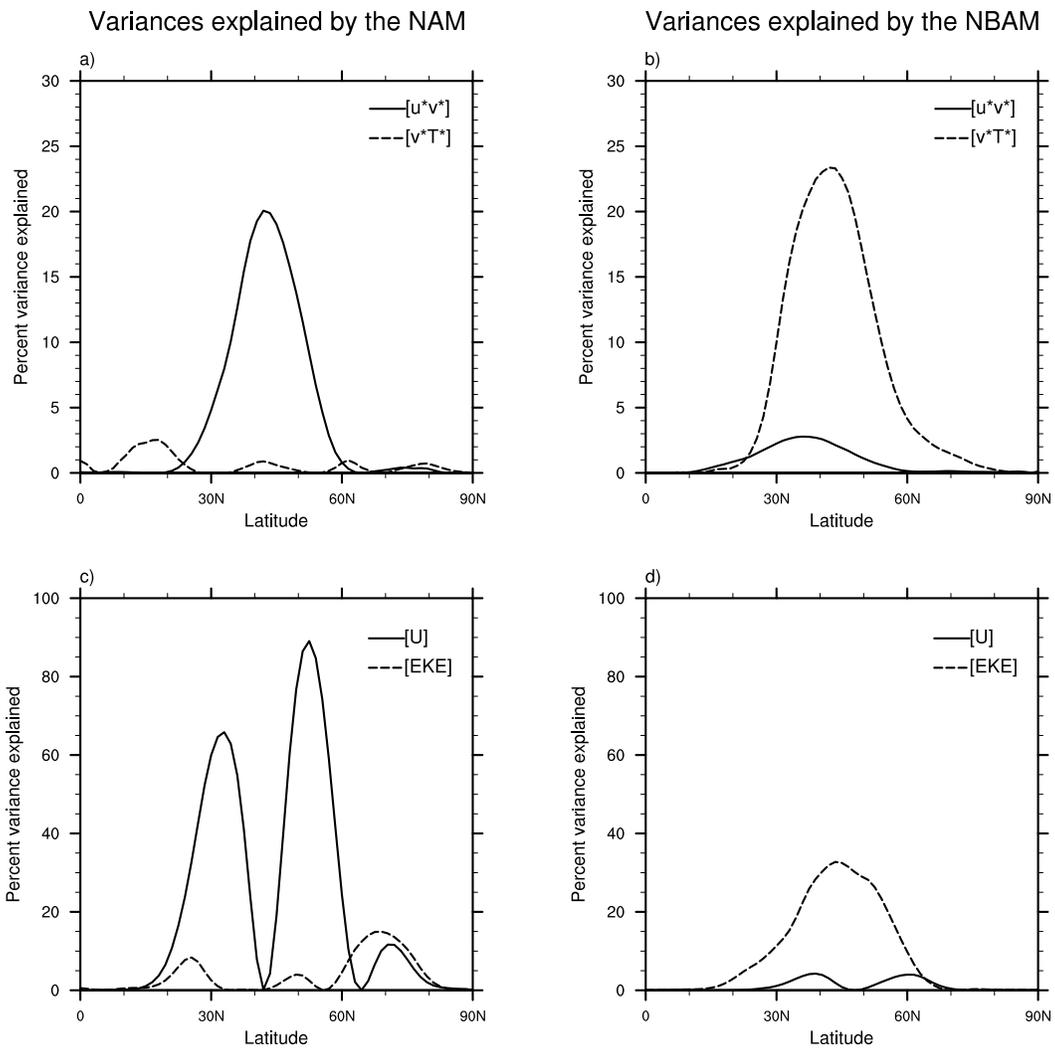


FIG. 5. Percent variance explained by the NAM (left) and NBAM (right) in vertically averaged values of the indicated fields. The time series and data are 10 day low-pass filtered to emphasize covariability on timescales longer than those associated with a typical baroclinic wave. The fields are vertically averaged between 950 and 250 hPa.

Regression of EKE at 300 hPa on NBAM

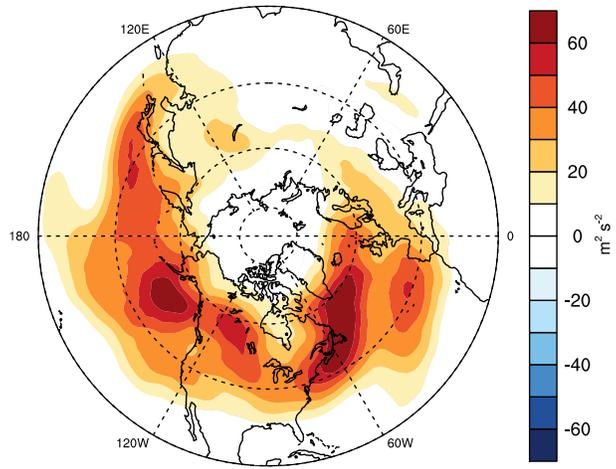


FIG. 6. Horizontal structure of the NBAM in EKE at 300 hPa. Results show daily-mean eddy kinetic energy at 300 hPa regressed onto standardized values of NBAM index.

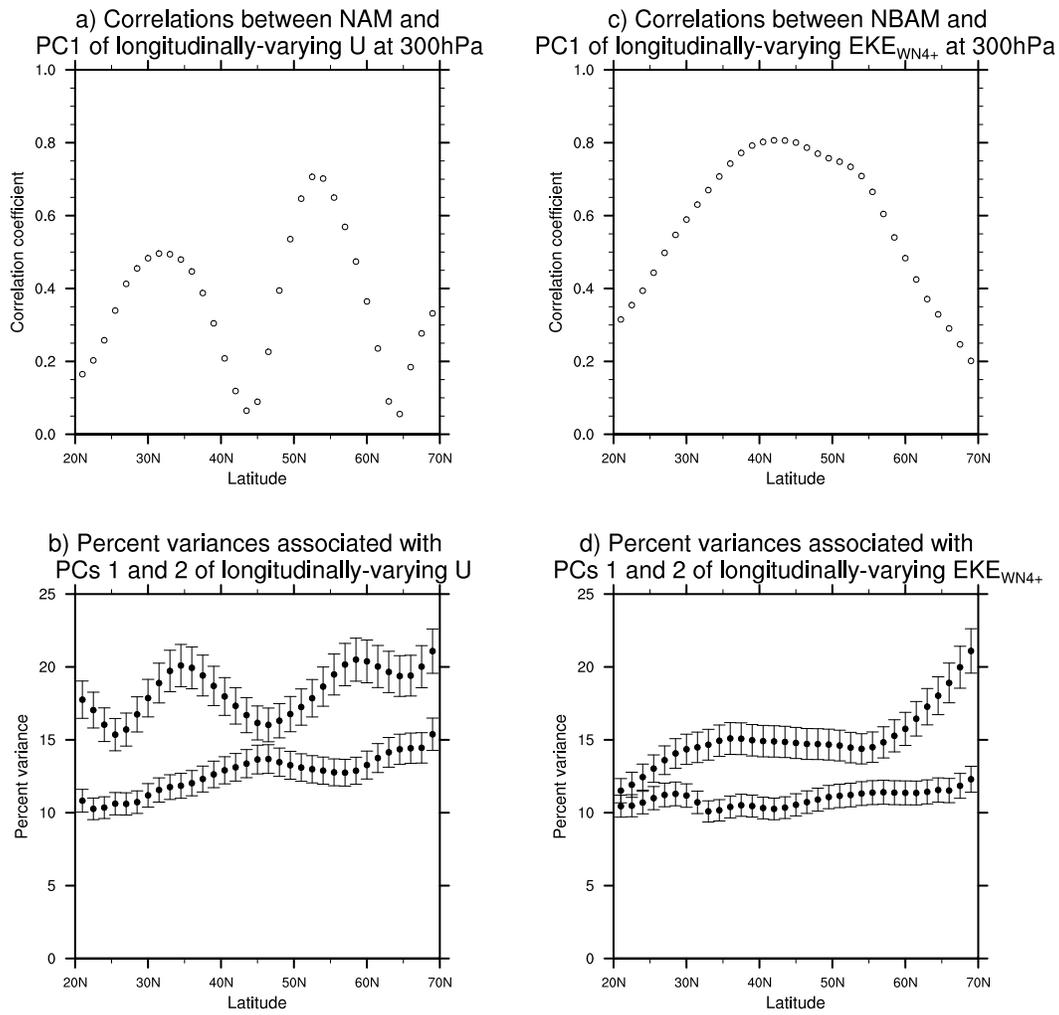


FIG. 7. (a) Correlations between the NAM index and the leading PCs of the daily-mean, longitudinally-varying zonal wind. The PCs are calculated as a function of latitude. (b) Variances explained by the first and second PCs of the daily-mean, longitudinally-varying zonal wind. (c) As in (a), but for correlations between the NBAM index and the leading PCs of daily-mean, synoptic-scale eddy kinetic energy. (d) As in (b), but for the PCs of the daily-mean, synoptic-scale eddy kinetic energy. Error bars are derived from the significance test described in North et al. (1982). The PCs are calculated based on daily-mean data. The correlations are based on 10 day low-pass versions of the time series to emphasize covariability on timescale longer than those associated with a typical baroclinic wave.

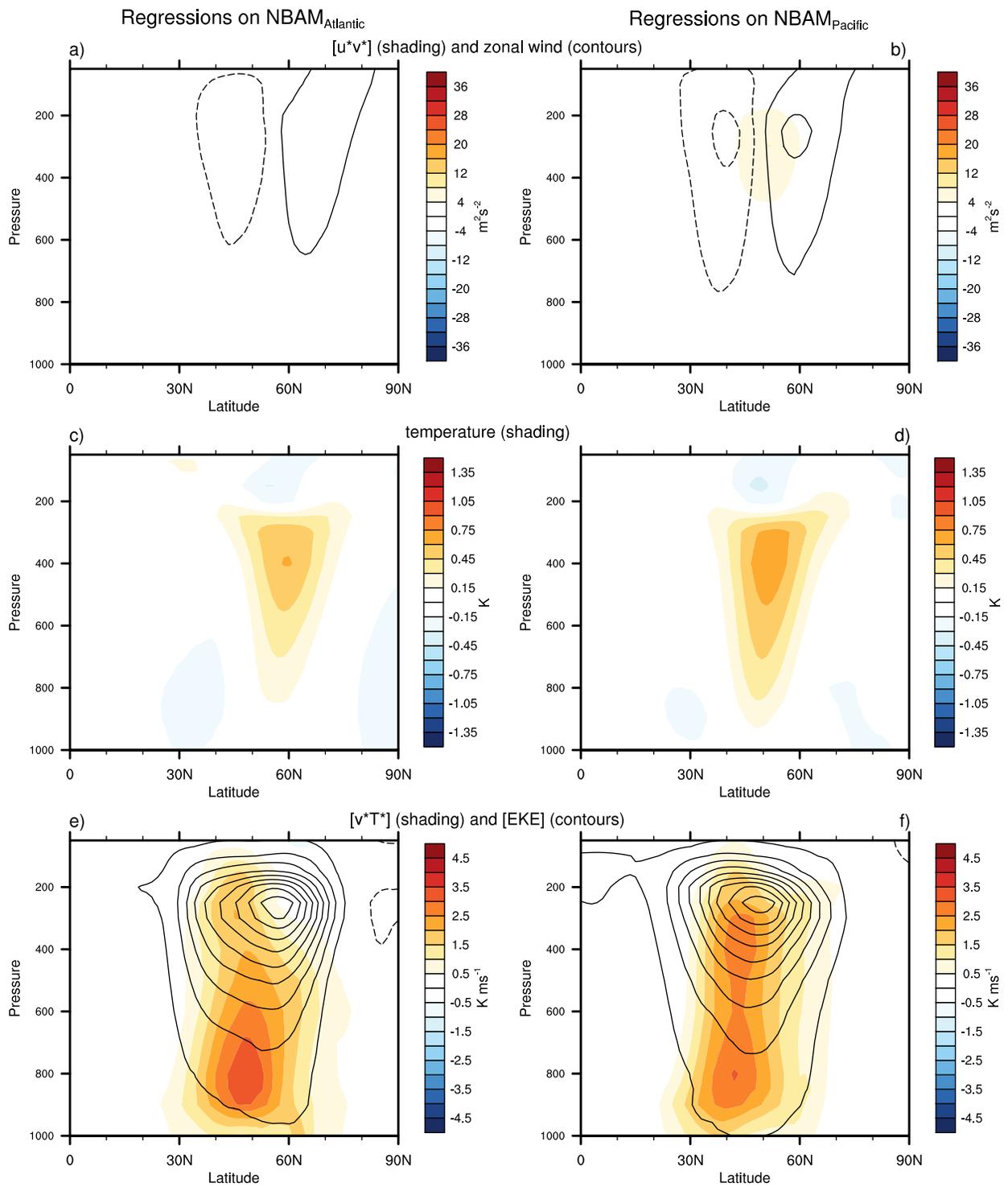


FIG. 8. Structure of baroclinic annular variability over the North Atlantic and North Pacific sectors of the hemisphere. As in the right column of Figure 2, but for results calculated separately for the Atlantic (left; 70°W–110°E) and Pacific (right; 70°W–110°E) sectors of the hemisphere. The  $NBAM_{Atlantic}$  is defined as the leading PC time series of synoptic-scale EKE in the Atlantic sector from 1000–200 hPa; the  $NBAM_{Pacific}$  index as the leading PC time series of synoptic-scale EKE in the Pacific sector from 1000–200 hPa.

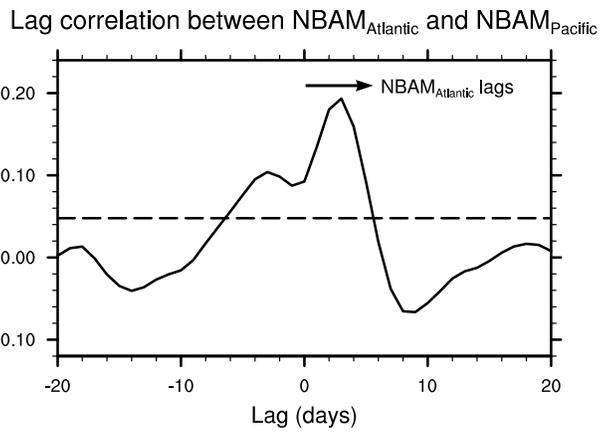


FIG. 9. Lead/lag correlations between the NBAM<sub>Pacific</sub> index and NBAM<sub>Atlantic</sub> index. Negative lags denote the NBAM<sub>Atlantic</sub> index leading the NBAM<sub>Pacific</sub>, and vice versa. The horizontal dashed line indicates the 99% significance level based on a two-tailed test of the  $t$ -statistic.

# Regression of EKE at 300 hPa on NBAM<sub>Pacific</sub>

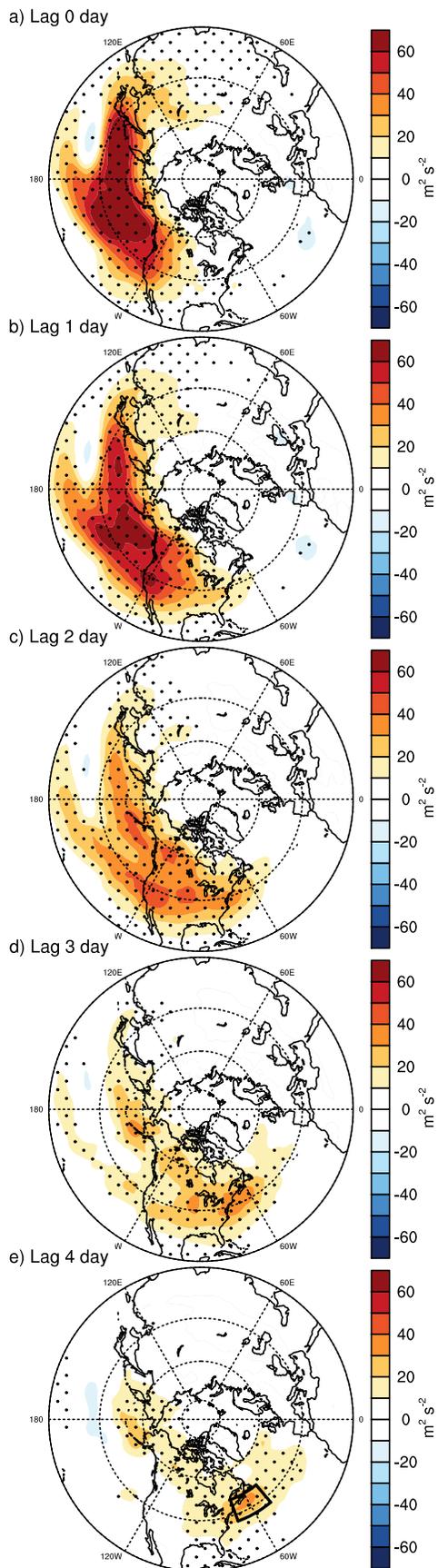


FIG. 10. Regressions of eddy kinetic energy at 300 hPa onto the NBAM<sub>Pacific</sub> index as a function of lag. Stippling indicates results that exceed 99% confidence level based on a two-tailed test of the  $t$ -statistic.

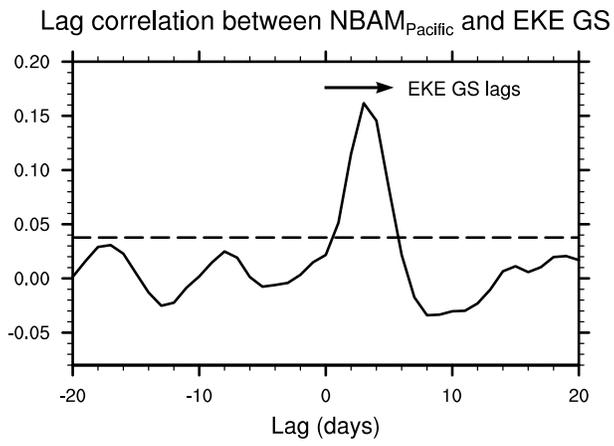


FIG. 11. As in Fig. 9, but for lead/lag correlations between the NBAMPacific index and eddy kinetic energy at 300 hPa averaged over Gulf Stream extension region (GS; see black borders indicated in Fig. 10e). Horizontal line indicates 99% significance levels based on a two-tailed test of the  $t$ -statistic.

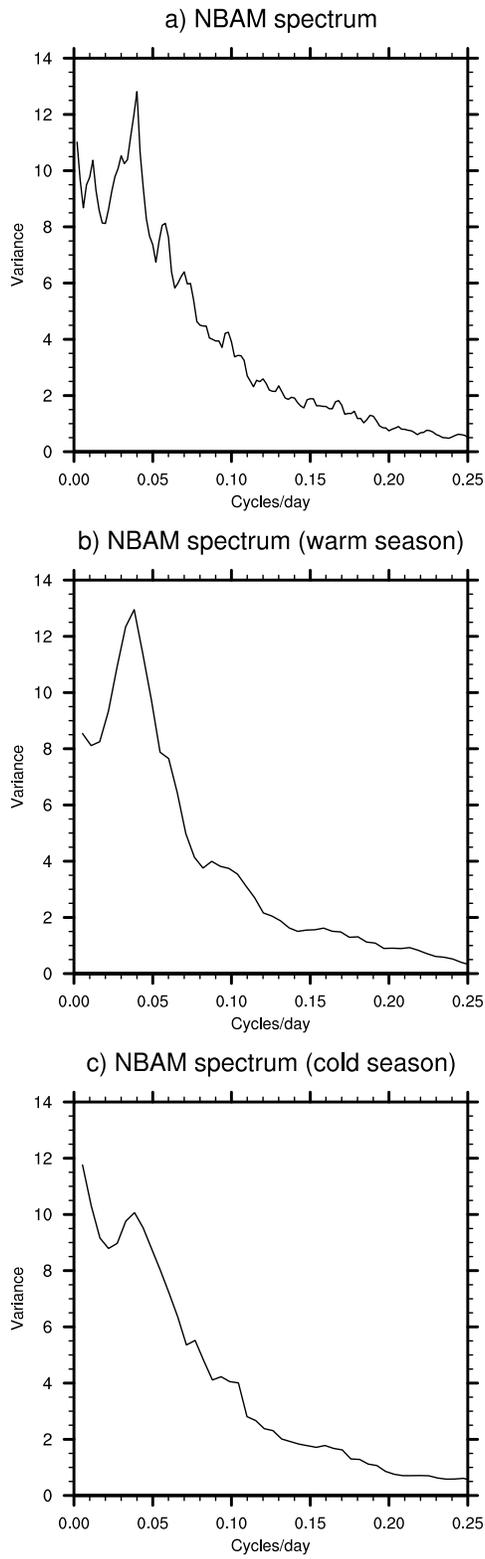


FIG. 12. Power spectra of the NBAM index calculated from daily-mean data for (a) all calendar days, (b) warm season days only, (c) cold season days only. Warm and cold months are defined as April-September, and October-March, respectively. See text for details of the calculation.

### NBAM spectra

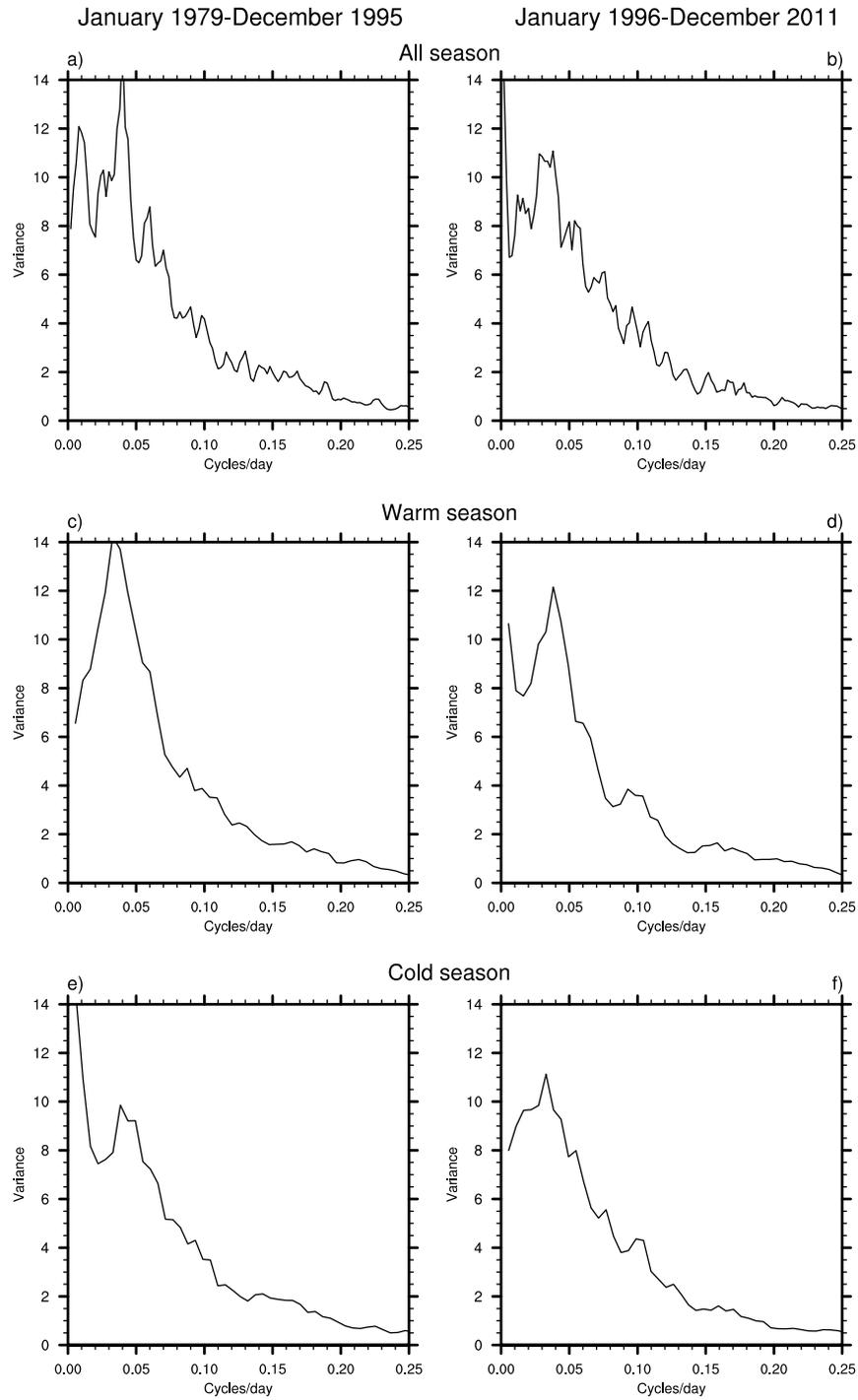


FIG. 13. As in Figure 12, but left panels show spectra of the NBAM for the first half of the data record, and right panels show spectra for the second half of the data record.

Warm season power spectra

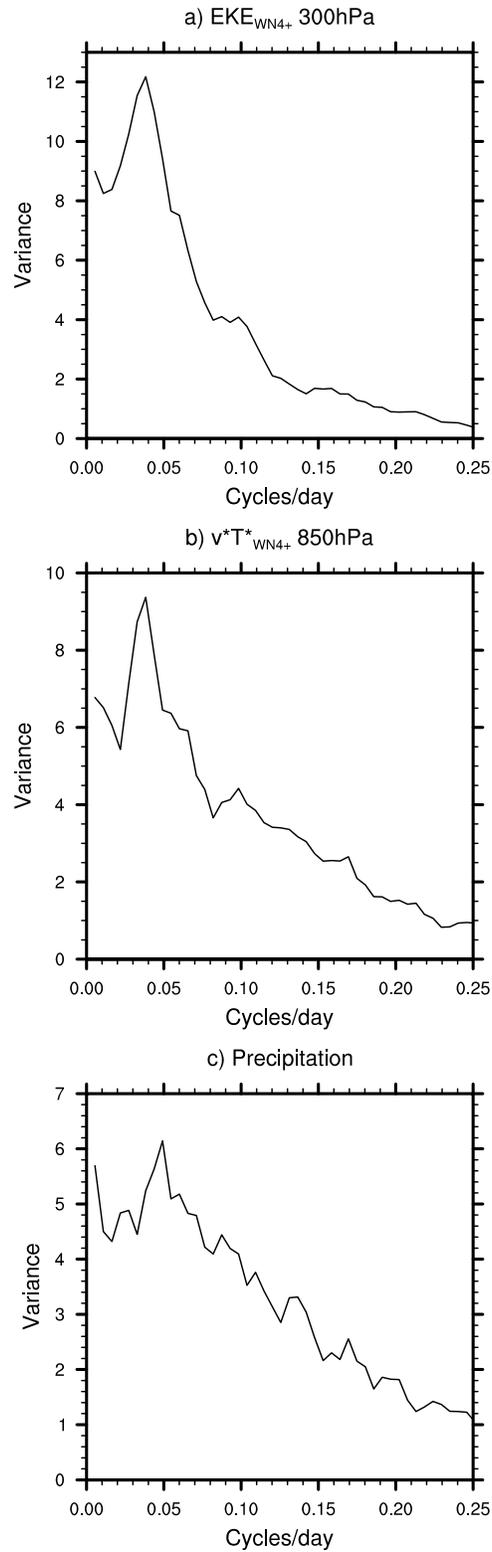


FIG. 14. Power spectra of the a) synoptic-scale eddy kinetic energy at 300 hPa, b) synoptic-scale eddy fluxes of heat at 850 hPa, and c) precipitation averaged  $30^\circ$  to  $70^\circ$ N. Results are based on warm season data. Precipitation is based on ERA-Interim (see Section 2).

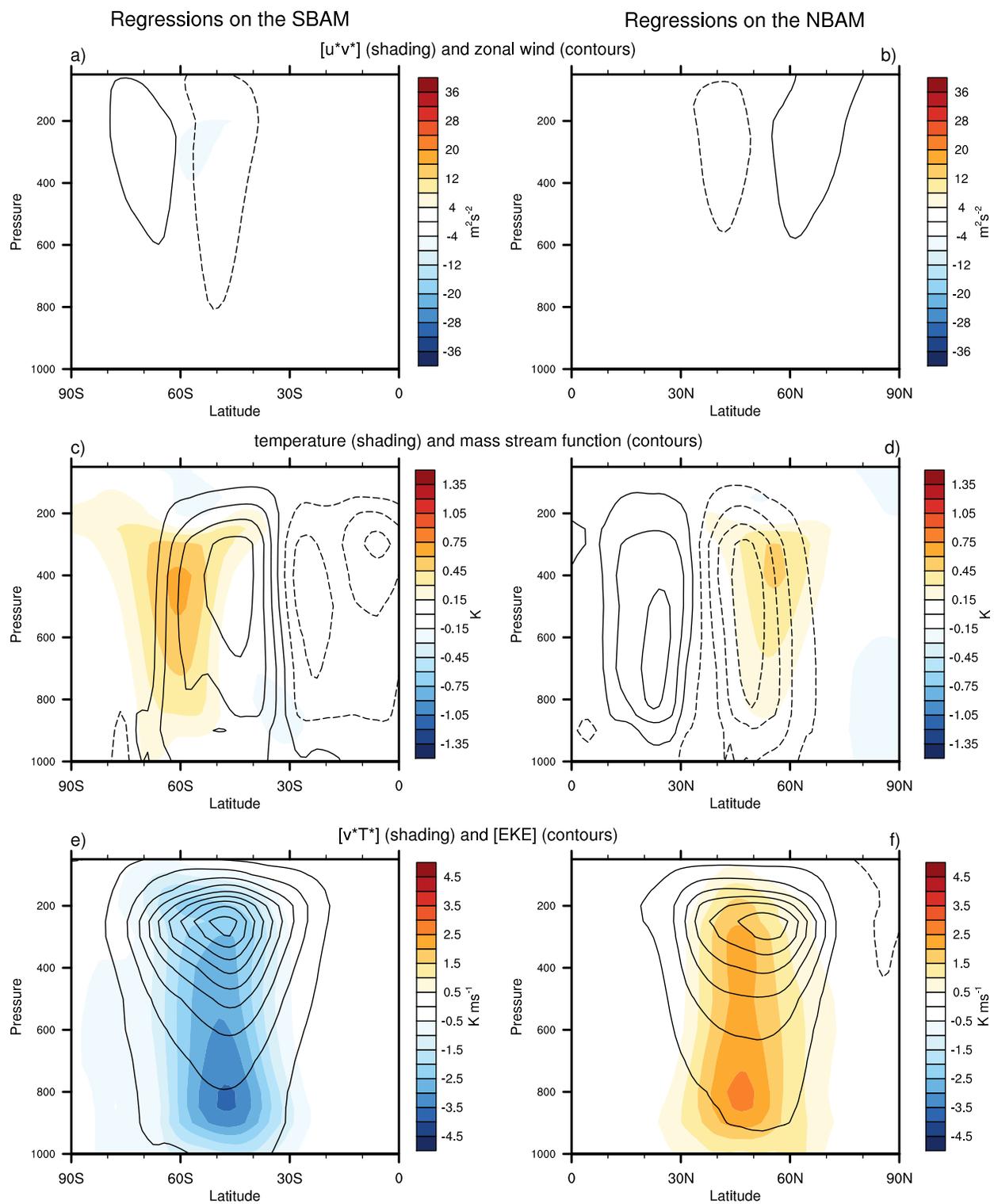


FIG. 15. Comparing the vertical structures of the southern and northern baroclinic annular modes. Results show daily-mean, zonal-mean values of the fields indicated regressed on standardized values of the SBAM (left) and NBAM (right) indices. Results for the NBAM are reproduced from Fig. 2 (right column). Results for the SBAM are reproduced from TW (but based on the period of record January 1979–December 2011).