

ABSTRACT

5

6 The influence of clouds on the large-scale atmospheric circulation is examined in numeri-
7 cal simulations from an atmospheric general circulation model run with and without cloud
8 radiative effects.

9 In the extratropics of both hemispheres, the primary impacts of cloud radiative effects on
10 the circulation include: 1) increases in the meridional temperature gradient and decreases in
11 static stability in the midlatitude upper troposphere; 2) strengthening of the midlatitude jet;
12 3) increases in extratropical eddy kinetic energy; and 4) increases in precipitation at middle
13 latitudes but decreases at subtropical latitudes. In the tropics, the primary impacts of cloud
14 radiative effects include: 1) eastward wind anomalies in the tropical upper troposphere/lower
15 stratosphere (UTLS); and 2) reductions in tropical precipitation.

16 The impacts of cloud radiative effects on the atmospheric circulation are interpreted in
17 the context of a series of dynamical and physical processes. The changes in the extratropical
18 circulation and precipitation are consistent with the influence of cloud radiative effects on
19 the baroclinicity and eddy fluxes of momentum in the extratropical upper troposphere; the
20 changes in the zonal wind in the UTLS with the influence of cloud radiative effects on the
21 amplitude of the equatorial planetary waves; and the changes in the tropical precipitation
22 with the energetic constraints on the tropical troposphere.

23 The results make clear that cloud radiative effects have a pronounced influence on the
24 atmospheric circulation not only at tropical latitudes, but at extratropical latitudes as well.
25 They highlight the critical importance of simulating correctly cloud radiative effects in global
26 climate models.

1. Introduction

Accurate simulations of the atmospheric general circulation are a necessary condition for interpreting climate variability and predicting the climate response to external forcings. However, climate models exhibit a wide range of biases in their simulations of the current climate, and a major source of such biases stems from cloud processes and their role in the general circulation (e.g., Möbis and Stevens 2012; Ceppi et al. 2012; Oueslati and Bellon 2013; Stevens and Bony 2013). One of the ways clouds can affect the atmospheric circulation is through their influence on the radiative heating of the atmosphere.

Cloud radiative effects have long been recognized to influence the Earth’s radiation budget. They have been quantified for decades at the top of the atmosphere (TOA; Ramanathan et al. 1989; Harrison et al. 1990; Hartmann et al. 1992; Loeb et al. 2009), and recent advances in remote sensing have made it possible to also quantify them at the surface and *within* the atmosphere (L’Ecuyer et al. 2008; Su et al. 2010; Allan 2011; Kato et al. 2011; Haynes et al. 2013). On global scales, the net cloud radiative effects within the atmosphere (defined as the difference in cloud radiative effects between the surface and TOA) are surprisingly small due to the compensation between cooling due to low clouds at high latitudes and warming due to high clouds in the tropics. But on local and even synoptic scales, cloud radiative effects within the atmosphere can readily reach several tens of W m^{-2} . Since they contribute to atmospheric diabatic heating, cloud radiative effects within the atmosphere can have a profound influence on the large-scale atmospheric circulation.

Early studies using simulations with numerical models reveal that atmospheric cloud radiative effects play a prominent role in determining the mean *tropical* circulation (Slingo and Slingo 1988; Randall et al. 1989; Sherwood et al. 1994; Tian and Ramanathan 2003). Other studies suggest that cloud radiative effects also play a role in tropical intraseasonal variability (Lee et al. 2001; Raymond 2001; Fuchs and Raymond 2002; Bony and Emanuel 2005; Zurovac-Jevtić et al. 2006).

Surprisingly, the influence of cloud radiative effects on the *extratropical* atmospheric

54 circulation has received comparatively little attention. Ceppi et al. (2012) argue that that
55 model biases in shortwave cloud radiative forcing lead to biases in surface temperatures that
56 induce biases in the latitude of the Southern Hemisphere midlatitude jet; (Ceppi et al. 2014)
57 suggest that trends in shortwave cloud radiative forcing lead to trends in the midlatitude jet.
58 Li et al. (2014a) argue that the TOA cloud radiative effects associated the northern annular
59 mode act to shorten the timescale of its attendant temperature anomalies. Grise et al.
60 (2013) and Grise and Polvani (2014) argue that changes in cloud radiative effects associated
61 with poleward shifts in the midlatitude jet can lead to significant global-mean warming in
62 coupled climate models. But for the most part, the physical mechanisms through which cloud
63 radiative effects influence the large-scale extratropical atmospheric flow remain unclear.

64 Here we examine the influence of cloud radiative effects on the large-scale atmospheric
65 circulation by exploiting numerical simulations performed under the auspices of the Cloud
66 Feedback Model Intercomparison Project (CFMIP), in which clouds are made transparent
67 to radiation. The simulations, referred to as COOKIE (the Clouds On-Off Klima Intercom-
68 parison Experiment, Stevens et al. 2012), allow us to assess the impact that atmospheric
69 cloud radiative effects exert on the atmosphere for a given surface boundary condition. The
70 COOKIE simulations include two primary types of experiments, both of which are run with
71 an atmospheric general circulation model (AGCM) forced with the same observed sea-surface
72 temperatures: 1) control simulations that include the full suite of model cloud radiative ef-
73 fects (“*clouds-on*” experiments); and 2) perturbed simulations in which the model cloud ra-
74 diative effects are turned off in the radiative computation (“*clouds-off*” experiments). The
75 differences between the *clouds-on* and *clouds-off* experiments reveal the impact of cloud
76 radiative effects on the model climate.

77 The paper is organized as follows: Section 2 reviews details of the COOKIE simulations
78 and diagnostic techniques. Section 3 examines the long-term mean, zonal-mean circulation
79 in the control (*clouds-on*) experiment. The impacts of cloud radiative effects on the model
80 zonal-mean circulation are documented in Section 4 and interpreted in Section 5. Section 6

81 summarizes key results.

82 **2. Model and diagnostic details**

83 *a. The COOKIE simulations*

84 The COOKIE simulations used in this study are all run using the atmospheric component
85 of the Institute Pierre Simon LaPlace (IPSL) coupled climate model (version IPSL-CM5A-
86 LR; Dufresne et al. 2013). Following the CMIP5 protocol (Taylor et al. 2012), the AGCM
87 is forced by observed monthly-mean SSTs and sea-ice concentration over the 30 year period
88 1979–2008. The AGCM output is available on a 3.75° latitude \times 1.875° longitude mesh and
89 at 39 vertical levels (provided on a hybrid sigma pressure coordinate system). Details of the
90 physics packages used in the IPSL AGCM are provided in Hourdin et al. (2006).

91 In the majority of the study, we will compare the long-term mean, zonal-mean circulation
92 between two types of experiments: the “*clouds-on*” and “*clouds-off*” simulations (referred to
93 as “amip” and “offamip” experiments, respectively, in Stevens et al. 2012). Select results will
94 draw from additional COOKIE simulations: 1) a 30 year “*boundary layer clouds-off*” simu-
95 lation (referred to as “offpblamip” in Stevens et al. 2012), in which only planetary boundary
96 layer clouds are made transparent to radiation; and 2) the five year “*aquaplanet clouds-on*”
97 and “*aquaplanet clouds-off*” simulations (referred to as “aquaControl” and “offaquaControl”
98 in Stevens et al. 2012), which are identical to the “*clouds-on*” and “*clouds-off*” experiments,
99 except that they are run in an aquaplanet configuration (see Stevens et al. 2012 for details).

100 *b. Diagnostic details*

101 The following diagnostic tools are used to characterize and interpret the impacts of cloud
102 radiative effects on the atmospheric circulation.

103 The static stability (N^2) is defined as $\frac{g}{\theta} \frac{\partial \theta}{\partial z}$, where g is 9.81 m s^{-2} and θ is potential tem-

104 perature, and tropopause height is identified using the World Meteorological Organization
 105 lapse rate definition. The zonal-mean eddy kinetic energy (EKE) is defined as $0.5 \times [u^{*2} + v^{*2}]$,
 106 the zonal-mean eddy fluxes of momentum as $[u^*v^*]$, and the zonal-mean eddy fluxes of heat
 107 as $[v^*T^*]$, where brackets denote the zonal-mean and the * denote departures from the zonal-
 108 mean. The eddy fluxes are calculated first from daily-mean output and then averaged over
 109 all days in the integration.

110 The Eady growth rate provides a quantitative estimate of the growth rate of baroclinic
 111 eddies (Lindzen and Farrell 1980; Hoskins and Valdes 1990) and is defined as:

$$\sigma_D = 0.31g \frac{1}{N} \frac{1}{T} \left| \frac{\partial T}{\partial y} \right|, \quad (1)$$

112 where N is the Brunt-Väisälä frequency, a measure of static stability, and $\left| \frac{\partial T}{\partial y} \right|$ meridional
 113 temperature gradient. The Eady growth rate measures the baroclinicity of the flow and
 114 thus the potential energy available for the growth of extratropical storms. The relative
 115 contribution of $\left| \frac{\partial T}{\partial y} \right|$ to the total changes in the Eady growth rate between the *clouds-on* and
 116 *clouds-off* simulations was found by setting N to its *clouds-on* value in the calculation; the
 117 relative contribution of N by setting $\left| \frac{\partial T}{\partial y} \right|$ to its *clouds-on* value.

118 **3. The long-term mean atmospheric circulation and cloud** 119 **radiative effects in the “*clouds-on*” experiment**

120 *a. The atmospheric circulation in the clouds-on experiment*

121 Figure. 1 reviews the zonal-mean circulation of the IPSL model in the control “*clouds-on*”
 122 experiment. The results are averaged over all 30 years of the integration. They are shown
 123 to provide context for the effects of the cloud radiative effects on the model circulation, as
 124 discussed in the following section.

125 The predominant features in the long-term mean control (*clouds-on*) circulation include:

- 126 • Large meridional temperature gradients and pronounced westerlies in the subtropical
127 upper troposphere (contours in panels a and b).

- 128 • Surface westerlies at midlatitudes and easterlies in the tropics (contours in panel a)
129 consistent with the eddy fluxes of momentum in the upper troposphere (shading in
130 panel a).

- 131 • Maxima in the eddy fluxes of heat near the surface at $\sim 50^\circ$ latitude and near 250 hPa
132 at $\sim 40^\circ$ latitude (shading in panel b).

- 133 • Large maxima in zonal-mean eddy kinetic energy centered near 250 hPa between 30° –
134 60° latitude (panel c). The maxima in eddy kinetic energy lie immediately above the
135 maxima in the region of largest baroclinic wave growth (i.e., the Eady growth rate;
136 panel d).

- 137 • A minimum in zonal-mean static stability in the tropical upper troposphere and paired
138 maxima in the extratropical lower stratosphere (panel e; the extratropical maxima are
139 consistent with the tropopause inversion layer, Birner 2006).

- 140 • A maximum in cloud fraction in the upper tropical troposphere consistent with deep
141 convection; minima in cloud fraction in the subtropics consistent with the descend-
142 ing branch of the Hadley Cell; maxima in cloud fraction in the midlatitude tropo-
143 sphere consistent with extratropical cyclones; and a maximum in low-level clouds over
144 the Southern Ocean. Note that the zonal-mean distribution of clouds in the IPSL
145 model is broadly consistent with the distribution of cloud amounts derived from Cloud-
146 Sat/CALIPSO observations (Haynes et al. 2007; Mace et al. 2007, 2009).

147 *b. Atmospheric cloud radiative effects in the clouds-on experiment*

148 Figure 2 shows the attendant latitude/height structure of the long-term mean, zonal-
149 mean cloud-induced radiative heating rates in the *clouds-on* experiment. Panel a) shows

150 the longwave component; panel b) the shortwave component; and panel c) the total heating
151 rates. At all levels, the cloud-induced radiative heating rates are defined as the difference
152 between the all-sky and clear-sky radiative heating rates, and thus represent the perturbation
153 radiative heating rates induced by the model clouds. Note that it is the inclusion of this
154 cloud forcing in Fig. 2 that drives the differences in the atmospheric circulation between the
155 two types of experiments.

156 The cloud-induced longwave radiative heating rates are about 3–4 times larger than the
157 shortwave heating rates. The relatively small amplitudes of the shortwave heating rates
158 within the atmosphere are due to the offsetting effects of clouds on shortwave radiation at
159 the TOA and surface. For example: An increase in clouds leads to a reduction in shortwave
160 heating not only at the TOA, but also at the surface, thus leading to relatively small net
161 shortwave heating within the atmosphere. The much larger role of longwave cloud radiative
162 effects at atmospheric levels is consistent with results shown in observational studies (Kato
163 et al. 2008; Allan 2011; Haynes et al. 2013).

164 The cloud-induced total radiative heating rates (panel c) have a distinct latitude/height
165 structure. The radiative effects of clouds in the IPSL model are positive in the middle tro-
166 posphere but negative in the upper troposphere near the tropopause level. The regions of
167 positive cloud radiative effects are consistent with the trapping of outgoing longwave radia-
168 tion from the middle troposphere by clouds in the upper troposphere; the regions of negative
169 cloud radiative effects are consistent with the increased emission of longwave radiation by
170 clouds in the upper troposphere. The heating of the middle troposphere is larger in the
171 tropics than it is in the polar regions, likely due to the larger optical depth of clouds in the
172 tropics (Kato et al. 2008).

173 In the lower troposphere, the net radiative effect of clouds is negative in the boundary-
174 layer atmosphere but positive near the surface (the heating near the surface is not shown
175 in the figure). At middle and high latitudes, the negative radiative forcing in the boundary
176 layer is larger than the positive radiative forcing in the middle troposphere. Hence, as

177 noted in observations in Kato et al. (2008), Allan (2011), and Haynes et al. (2013), the
178 vertically integrated cloud radiative effect within the atmosphere is negative at middle and
179 high latitudes.

180 4. The influence of cloud radiative effects on the large- 181 scale atmospheric circulation

182 In this section we document the response of the zonal-mean atmospheric circulation to
183 the atmospheric cloud radiative effects shown in Fig. 2c. To do so, we examine the differences
184 in the long-term mean, zonal mean atmospheric circulation in the IPSL model between the
185 30-year *clouds-on* and *clouds-off* experiments. The mechanisms of the response are explored
186 in Section 5.

187 Figures 3–6 explore the impacts of the cloud radiative effects on the zonal-mean, long-
188 term mean atmospheric circulation. As noted above, the effects of the cloud radiative effects
189 are given by the differences between the long-term means in the *clouds-on* and *clouds-off*
190 experiments. The statistical significance of the differences is assessed using a two-tailed test
191 of the *t*-statistic for the difference of means, where we assume there are 2 degrees of freedom
192 in each calendar year.

193 Figure 3 examines the influences of the cloud radiative effects on the zonal-mean tem-
194 perature, wind and eddy-kinetic energy fields. In all fields, the response to cloud radiative
195 effects exhibits a high degree of hemispheric symmetry. The primary features include:

- 196 • Cooling in the extratropical lower stratosphere/upper troposphere that peaks near
197 ~ 200 hPa and 55° latitude, juxtaposed against warming in the free troposphere that
198 peaks near ~ 200 hPa at the Equator (Fig. 3a). The warming exceeds 2K throughout
199 the tropical troposphere and is consistent with the positive cloud radiative effects in the
200 middle and upper troposphere (Fig. 2c). The cooling exceeds 5K throughout much of

201 the extratropical lower stratosphere and lies above the negative cloud radiative effects
202 near the tropopause (Fig. 2c). Since the cooling of the extratropical tropopause region
203 is not clearly collocated with the negative cloud radiative effects (as shown in Fig. 2c),
204 it must be driven dynamically, as indicated further below.

- 205 • Lifting of the tropopause at all latitudes (the heights of the tropopause in the *clouds-on*
206 and *clouds-off* experiments are indicated by the solid and dashed lines, respectively).
207 The lifting of the tropopause is consistent with the modified thermal structure of the
208 atmosphere (Fig. 3a).
- 209 • Eastward wind anomalies in the extratropical zonal flow between $\sim 30^\circ$ – 45° latitude
210 juxtaposed against weak (but significant) westward anomalies poleward of $\sim 60^\circ$ (Fig. 3b).
211 The vertical shear of the wind anomalies at upper levels is mandated by the large
212 meridional gradients in temperature at the tropopause level (Fig. 3a). The surface
213 component of the wind anomalies is consistent with the attendant changes in the eddy
214 fluxes of momentum, as discussed below.
- 215 • Eastward wind anomalies centered about the Equator in the tropical upper tropo-
216 sphere/lower stratosphere region (UTLS; Fig. 3b). Immediately poleward of the Equa-
217 tor, the wind anomalies are consistent with the vertical shear of the flow required by
218 the anomalous meridional temperature gradients in the subtropical UTLS. But at the
219 Equator, the wind anomalies must be driven by momentum fluxes due to either the
220 mean meridional circulation or eddies (e.g., Dima et al. 2005; Kraucunas and Hart-
221 mann 2005; Dima and Wallace 2007). The response of the eastward wind anomalies in
222 the tropical UTLS region will be discussed later in Section 5b.
- 223 • Widespread increases in eddy kinetic energy (EKE) centered in both the extratropical
224 and tropical UTLS (Fig. 3c). The changes in extratropical EKE account for a $\sim 30\%$
225 increase in eddy amplitudes (compare Fig. 3c and Fig. 1c). They project strongly onto
226 the leading pattern of storm track variability documented in Lau (1988) and Wettstein

227 and Wallace (2010), and also onto the positive polarity of the baroclinic annular mode
228 (Thompson and Woodworth 2014; Thompson and Li 2014).

229 Figure 4 shows the attendant changes in the fields of the eddy fluxes of momentum
230 (contours in left panel) and heat (contours in right panel). The changes in the eddy fluxes
231 are superposed on the changes in the zonal-mean zonal wind (shading in left panel) and
232 temperature (shading in right panel) reproduced from Fig. 3. The primary responses in the
233 eddy fluxes again exhibit a high degree of hemispheric symmetry, and include:

- 234 • Anomalously poleward momentum fluxes centered $\sim 40^\circ$ juxtaposed against anoma-
235 lously equatorward momentum fluxes centered $\sim 60^\circ$ (note that poleward fluxes are
236 denoted by positive values in the Northern Hemisphere but negative values in the
237 Southern Hemisphere). The associated convergence of the eddy flux of eastward mo-
238 mentum between $\sim 40^\circ$ – 60° must drive the anomalous surface eastward flow there;
239 the divergence of the eddy flux of eastward momentum poleward of $\sim 60^\circ$ must drive
240 the anomalous surface westward flow there. The weak eddy momentum fluxes in the
241 tropical UTLS imply convergence of eastward momentum at the Equator.
- 242 • Anomalously poleward heat fluxes in the upper troposphere and lower stratosphere be-
243 tween $\sim 20^\circ$ – 50° collocated with anomalously equatorward heat fluxes centered ~ 250
244 hPa at subpolar latitudes. (The anomalous eddy fluxes of heat are not shown below
245 500 hPa where they are noisy, amorphous, and uniformly insignificant). The anoma-
246 lous eddy fluxes of heat are oriented down the gradient of the temperature anomalies
247 and thus can be interpreted as responding to (as opposed to driving) the changes in
248 atmospheric temperature.

249 Figure 5 shows the associated changes in static stability (left) and cloud fraction (right).
250 As also indicated by the changes in temperature (Fig. 3a), the cloud radiative effects leads to
251 widespread decreases in atmospheric static stability near the tropopause and relatively weak
252 increases in static stability in the extratropical lower stratosphere (the associated changes

253 in tropopause height are reproduced from Fig. 3a). The cloud radiative effects also lead to
254 increases in cloud fraction that peak in the extratropical upper troposphere (Fig. 5b). The
255 increases in extratropical cloud fraction project strongly onto the decreases in atmospheric
256 static stability, consistent with the linkages between free tropospheric cloud incidence and
257 static stability found in observations (Li et al. 2014b).

258 Finally, Figure 6 compares the long-term mean, zonal-mean precipitation in the *clouds-on*
259 and *clouds-off* experiments. In both experiments, the long-term mean precipitation exhibits
260 a maximum in the deep tropics that peaks north of the equator, minima at subtropical
261 latitudes, and maxima in the extratropical stormtrack regions, which is broadly consistent
262 with the long-term mean precipitation found in other climate models (Dai 2006; Lin 2007).
263 Cloud radiative effects lead to increases in precipitation at mid-high latitudes (indicated
264 by the red shading between 40° – 60°) and decreases at subtropical latitudes (indicated by
265 the blue shading between 20° – 40°). They also lead to decreases in precipitation at tropical
266 latitudes between 20° S– 20° N. Interestingly, similar experiments focusing on the impact of
267 boundary-layer cloud radiative effects show that low-cloud radiative effects tend to increase
268 tropical precipitation (Fermepin and Bony 2014). Therefore the results shown here imply
269 that the influence on tropical precipitation of free-tropospheric clouds (which radiatively
270 heat the atmosphere) dominates that of planetary boundary layer clouds (which radiatively
271 cool the atmosphere).

272 5. Interpretation

273 The response of the zonal-mean atmospheric circulation to cloud radiative effects is con-
274 sistent with a series of dynamical and thermodynamical processes. As discussed below, the
275 response of the extratropical atmospheric circulation is consistent with the influence of cloud
276 radiative effects on upper tropospheric baroclinicity and the amplitude of baroclinic waves.
277 In the tropics, it is consistent with the influence of cloud radiative effects on the amplitude

278 of the equatorial Rossby waves, and the energy balance requirements in the free tropical
279 troposphere. We begin with a discussion of the extratropical response.

280 *a. Extratropical circulation*

281 The eddy fluxes of momentum and heat play a central role in the extratropical circu-
282 lation. Both are linked to the amplitudes of midlatitude baroclinic waves: anomalously
283 large amplitude of midlatitude eddies derive from anomalously large poleward fluxes of heat
284 (Holton 2004; Vallis 2006). They can also lead to anomalously large wave fluxes of momen-
285 tum through the lifecycle of baroclinic waves (Simmons and Hoskins 1978; Edmon et al.
286 1980). The amplitudes of midlatitude eddies, in turn, are closely connected to the ampli-
287 tude of the extratropical baroclinicity, which provides the fundamental source of energy for
288 developing baroclinic waves. As noted in Section 2, the growth rate of developing baroclinic
289 waves can be estimated from the Eady growth rate.

290 The response of the extratropical atmospheric circulation to cloud radiative effects is
291 qualitatively consistent with the changes in baroclinicity and thus wave growth in the ex-
292 tratropical upper troposphere. Figure 7 shows the changes in the extratropical Eady growth
293 rate between the *clouds-on* and *clouds-off* experiments. In the extratropical upper tropo-
294 sphere, the amplitude of the Eady growth rate is $\sim 30\%$ larger in the *clouds-on* experiment
295 than it is in the *clouds-off* experiment. The response peaks near 300 hPa and at $\sim 40^\circ$, and is
296 closely collocated with the long-term mean maxima in the Eady growth rate in the *clouds-on*
297 experiment (see Fig. 1d). The increases in the Eady growth rate derive from both increases
298 in the meridional temperature gradient (Fig. 7b; the numerator in equation (1)) and de-
299 creases in the static stability (Fig. 7c; the denominator in equation (1)) in the extratropical
300 upper troposphere.

301 The increases in the Eady growth rate in the extratropical upper troposphere correspond
302 to a destabilization of the extratropical upper troposphere to baroclinic wave growth. The
303 destabilization of the flow is consistent with the increases in the poleward eddy fluxes of

304 heat (Fig. 4b) and eddy kinetic energy (Fig. 3c) at middle latitudes: i.e., regions where the
305 flow is more baroclinically unstable are marked by both anomalously poleward fluxes of heat
306 by atmospheric eddies and enhanced eddy amplitudes. The much larger eddy amplitudes
307 are also consistent with enhanced “stirring” of the midlatitude circulation by baroclinic
308 instability. Stirring of the extratropical circulation leads to the generation of Rossby waves
309 in the upper troposphere waves that propagate meridionally away from - and flux eastward
310 momentum into - the stirring region (Held 2000; Vallis 2006). Hence, the changes in the
311 eddy fluxes of momentum indicated in Fig. 4a are at least qualitatively consistent with the
312 influence of cloud radiative effects on the amplitude of baroclinic waves in the extratropical
313 middle and upper troposphere.

314 The changes in the eddy fluxes of momentum indicated in Fig. 4a, in turn, play a central
315 role in the changes in vertical motion at extratropical latitudes (indicated in Fig. 8). At ex-
316 tratropical latitudes, the zonal-mean (Eulerian) meridional overturning circulation is driven
317 primarily by the eddy fluxes of momentum (Vallis 2006; the fluxes of momentum by the
318 mean meridional flow contribute primarily at low latitudes). For example, eastward forcing
319 due to the convergence of the eddy flux of eastward momentum is balanced by westward
320 forcing due to the Coriolis torque acting on the meridional flow, and vice versa. In the case
321 of the response to cloud radiative effects, the anomalous convergence of the eddy flux of
322 eastward momentum in the upper troposphere between $\sim 40^\circ$ – 60° latitude (Fig. 4a) must
323 be balanced in part by the Coriolis torque acting on anomalously equatorward flow. As
324 indicated in Fig. 8, both hemispheres are, in fact, marked by equatorward flow at middle
325 latitudes, consistent with the force balance requirements noted above. Similar reasoning
326 applies to the anomalous divergence of the eddy flux of eastward momentum and poleward
327 flow at subpolar latitudes.

328 The changes in the meridional motion induced by the eddy fluxes of momentum play an
329 important role in the changes in extratropical precipitation. From continuity of mass, the
330 anomalous meridional flow driven by the momentum fluxes must be accompanied by rising

331 motion centered $\sim 50^\circ$ and descending motion centered $\sim 30^\circ$ (Fig. 8). Comparing Figs. 6
332 and 8, it is clear that regions with anomalous ascending motion at mid-high latitudes are
333 closely collocated with regions of increased precipitation, whereas regions with anomalous
334 descending motion at subtropical latitudes are closely collocated with regions of decreased
335 precipitation. Hence, the changes in extratropical precipitation induced by the cloud ra-
336 diative effects can be viewed as fundamentally driven by the anomalous eddy momentum
337 fluxes aloft which, in turn, are driven by the influence of the cloud radiative effects on the
338 extratropical upper tropospheric baroclinicity.

339 *b. Tropical circulation*

340 In the tropics, the primary influence of cloud radiative effects on the zonal-mean atmo-
341 spheric circulation include: 1) eastward wind anomalies in the UTLS and 2) a reduction in
342 precipitation. The former can be traced to increased amplitude of the equatorial planetary
343 waves; the latter to the energetic constraints on large-scale tropical precipitation.

344 The equatorial waves are driven by zonally asymmetric heating in the tropical atmo-
345 sphere. The prominent latent heating over the western tropical Pacific induces an off-
346 equatorial Rossby wave response to the west of heating, and a Kelvin wave response to
347 the east (e.g., Gill 1980; Highwood and Hoskins 1998; Dima et al. 2005; Dima and Wal-
348 lace 2007). The equatorial Rossby waves propagate out of the deep tropics and hence flux
349 eastward momentum into the tropical UTLS (Dima et al. 2005; Dima and Wallace 2007).

350 Figure 9 indicates the horizontal structure of the long-term mean 150-hPa geopotential
351 height in the *clouds-on* (top) and *clouds-off* (bottom) experiments. The results show the
352 wave component of the 150 hPa geopotential height field, i.e., the geopotential height field
353 minus its zonal mean. Cloud radiative effects evidently play a key role in governing the
354 structure of the geopotential height field at 150 hPa. In the *clouds-on* experiment, the
355 150-hPa geopotential height field is characterized by paired off-equatorial ridges centered
356 $\sim 120^\circ$ – 160° E and troughs centered ~ 110 – 150° W. The structure of the tropical 150 hPa

357 geopotential height field in the *clouds-on* experiment is qualitatively similar to that in the
 358 observations (Dima et al. 2005; Dima and Wallace 2007; compare with Grise and Thompson
 359 2012 Fig. 1b). In the *clouds-off* experiment, the amplitudes of the off-equatorial ridges
 360 and troughs are notably weaker than they are in the *clouds-on* experiment. The results
 361 in Fig. 9 imply that observed Equatorial waves are affected not only by latent heating
 362 but also the associated cloud-induced radiative heatings. The more pronounced structure
 363 of the equatorial planetary waves is consistent with the increases in tropical eddy kinetic
 364 energy (Fig. 3c), the anomalous equatorward flux of eastward momentum (Fig. 4a), and
 365 the anomalous eastward wind anomalies (Fig. 3b) in the tropical upper UTLS found in
 366 association with the cloud radiative effects.

367 The signature of cloud radiative effects on tropical precipitation is not clearly attributable
 368 to changes in zonal-mean vertical motion (not shown; the changes in tropical vertical motion
 369 are noisy and not significant). Rather they are consistent with the energetic constraints on
 370 tropical precipitation (see review by O’Gorman et al. 2012, and reference therein). On large
 371 spatial scales, the changes in precipitation are constrained by changes in 1) the radiative
 372 cooling of the atmosphere and 2) the surface sensible heat flux. Averaged over the tropics
 373 (30°S–30°N), the changes in the vertically integrated radiative heating between the *clouds-*
 374 *on* and *clouds-off* experiments is $+13.3 \text{ W m}^{-2}$, which is the sum of the changes in the
 375 clear-sky radiative cooling ($\Delta R_{clear} = -4.6 \text{ W m}^{-2}$) and atmospheric cloud radiative effect
 376 ($\Delta ACRE = 17.9 \text{ W m}^{-2}$). The heating by the radiative effects of clouds in the *clouds-on*
 377 experiment is balanced by reductions (relative to the *clouds-off* experiment) in: 1) the latent
 378 heating of condensation ($L\Delta P = -8.9 \text{ W m}^{-2}$) and 2) the upward surface sensible heat flux
 379 ($\Delta SH = -3.7 \text{ W m}^{-2}$). Hence the heating of the tropical atmosphere by cloud radiative
 380 effects (Fig. 2c) is primarily balanced by a reduction in the latent heating, consistent with
 381 the reduction in tropical precipitation evident in the *clouds-on* simulation (Fig. 6).

6. Summary and Discussion

As summarized in Fig. 10, cloud radiative effects impact the atmospheric circulation in several ways:

1) *An increase in baroclinicity and eddy activity in the extratropical upper troposphere.* As discussed in Section 5, the increases in baroclinicity are physically consistent with increases in the kinetic energy, poleward fluxes of heat, and eastward momentum forcing associated with eddies in the midlatitude upper troposphere. The changes in eddy momentum forcing, in turn, drive a dipole in the zonal-mean zonal wind anomalies, with anomalous eastward flow centered $\sim 45^\circ$ and anomalous westward flow at subtropical latitudes. They also drive changes in vertical motion that subsequently lead to increases in precipitation at mid-high latitudes (40° – 60°) and decreases in the subtropics (20° – 40°).

2) *Eastward flow in the tropical UTLS region.* The eastward flow is consistent with the influence of the zonally asymmetric structure of the tropical atmospheric cloud radiative effects on the amplitude of the equatorial planetary waves.

3) *Decreases in tropical precipitation.* The decreases in tropical precipitation are consistent with a reduction in tropical tropospheric latent heating, which is required to balance the increases in radiative heating due to cloud radiative effects there.

We have focused on the atmospheric response to cloud radiative effects imposed at all levels and latitudes. We tested whether the primary changes found in this study are due to clouds in the planetary boundary layer by examining atmospheric circulation response in COOKIE experiments in which only the radiative effects of boundary layer clouds are turned off (see Section 2a). Preliminary results (not shown) indicate that the notable changes in tropospheric eddy activity shown here are not simulated in those experiments. Hence the effects on the atmospheric circulation of planetary boundary layer clouds is seemingly smaller than the effects on the circulation of free tropospheric clouds. We also tested the results in

407 the aqua-planet configuration of the IPSL model, and found changes in tropospheric eddy
408 activity similar to those documented here (not shown). Hence, the unique land-sea geometry
409 of a particular hemisphere does not play a key role in the zonal-mean response indicated
410 here.

411 The findings shown here highlight the key role of cloud radiative effects in determining
412 the structure of the large-scale atmospheric circulation. The results are derived from a single
413 climate model (the IPSL model), but since the responses follow from a series of physically
414 consistent relationships, we expect they will prove robust in any climate model with cloud
415 radiative effects similar to those indicated in Fig 2. The results make clear that model biases
416 in tropospheric cloud radiative effects will lead to substantial biases in simulations of the
417 atmospheric flow not only at tropical latitudes, but at extratropical latitudes as well (see
418 also Ceppi et al. 2012; Grise et al. 2013).

419 The findings raise several obvious questions for future work. For examples: What is the
420 relative role of tropical and extratropical cloud radiative effects in driving the extratropical
421 atmospheric response indicated here? Is the atmospheric response to cloud radiative effects
422 robust in other climate models? How does the atmospheric response vary as a function of the
423 seasonal cycle? What is the zonally varying structure of the atmospheric response? And to
424 what extent do changes in the cloud radiative effects impact the time scales and structures
425 of large-scale patterns of atmospheric variability? We are exploring these questions in our
426 ongoing analyses.

427 *Acknowledgments.*

428 We thank Abderrahmane Idelkadi (LMD) for running the “clouds-off” simulation. YL is
429 funded by CloudSAT via NASA JPL and the NSF Climate Dynamics program. DWJT is
430 funded by the NSF Climate Dynamics program.

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37

Zonal-mean, long-term mean circulation in the "clouds-on" experiment

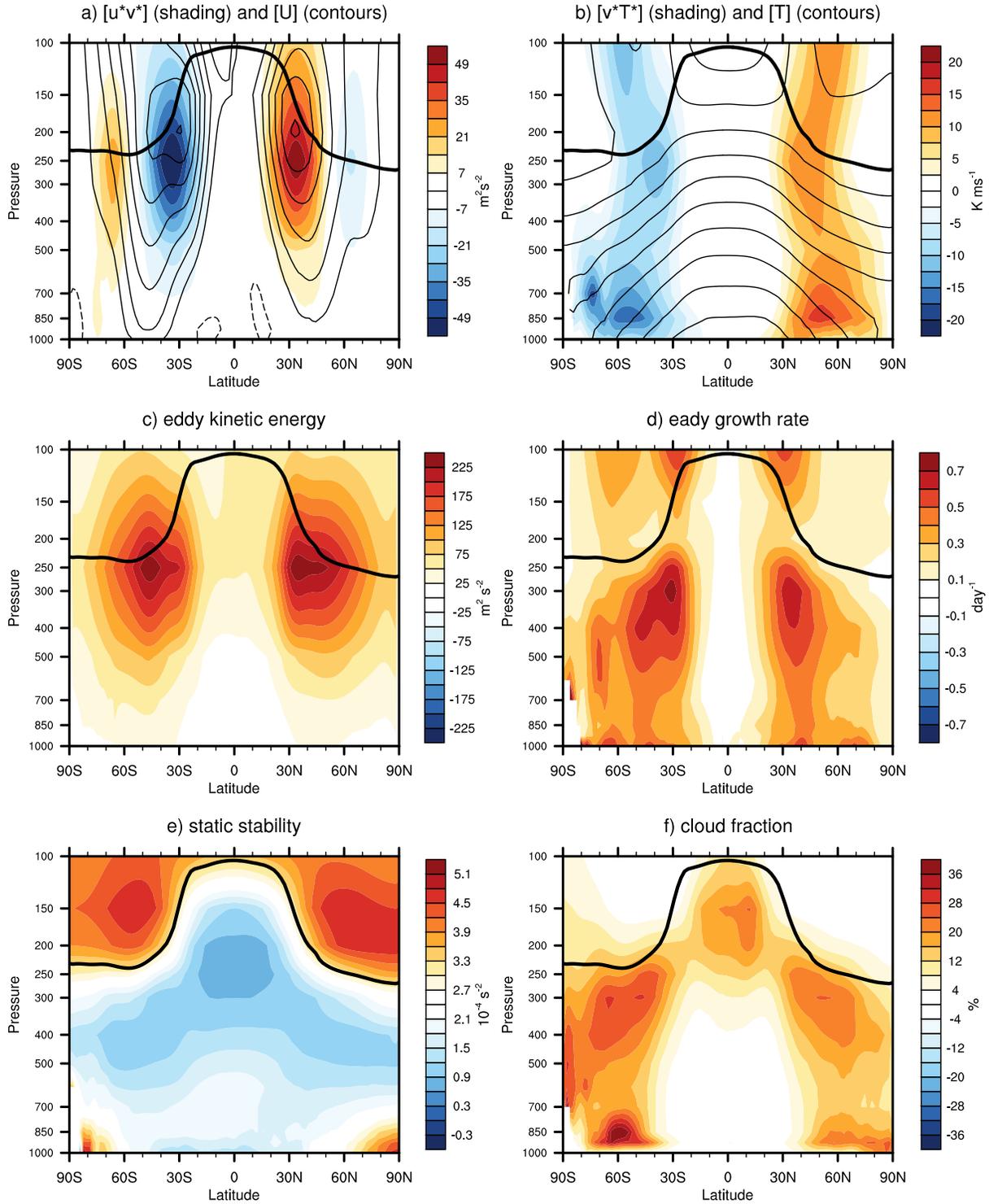


FIG. 1. The long-term mean, zonal mean circulation of the *clouds-on* experiment for the fields indicated. The contour intervals are 5 m s^{-1} in panel a, and 10 K in panel b. The thick solid line superimposed on each panel indicates the long-term mean tropopause height. In all results, long-term mean denotes the mean over all 30 years of the integration.

Cloud radiative heating rates in "clouds-on" experiment

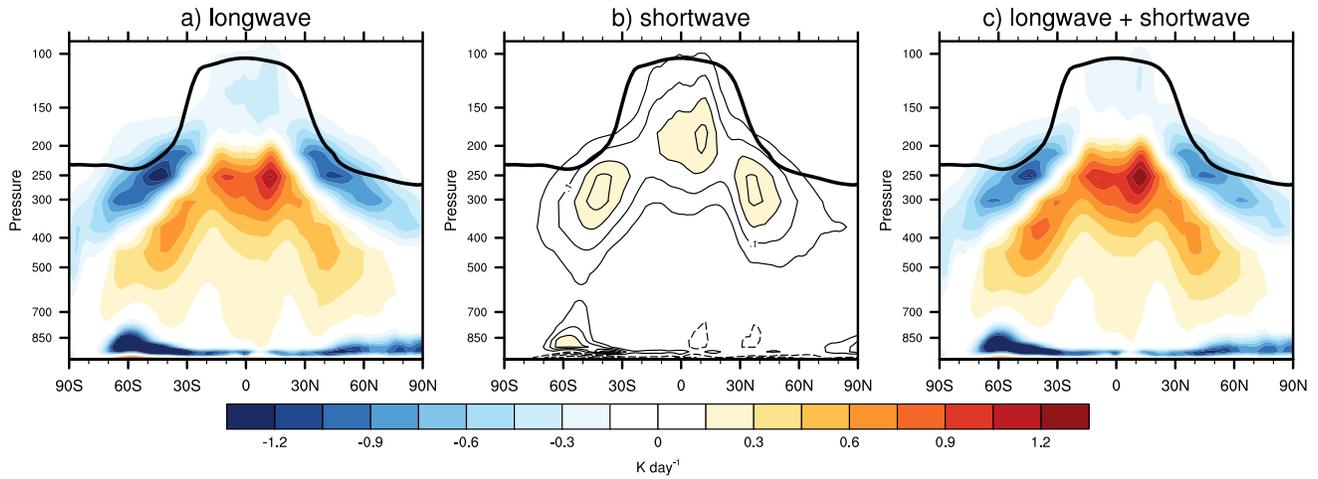


FIG. 2. The long-term mean, zonal mean cloud radiative effects in the *clouds-on* experiment: (a) the longwave component, (b) the shortwave component, and (c) the total cloud radiative effects. The contours in the middle panel are placed at 0.05 K day^{-1} and are included to help indicate the structure of the relatively weak shortwave forcing. The thick solid line superimposed on each panel indicates the long-term mean tropopause height in the “*clouds-on*” experiment.

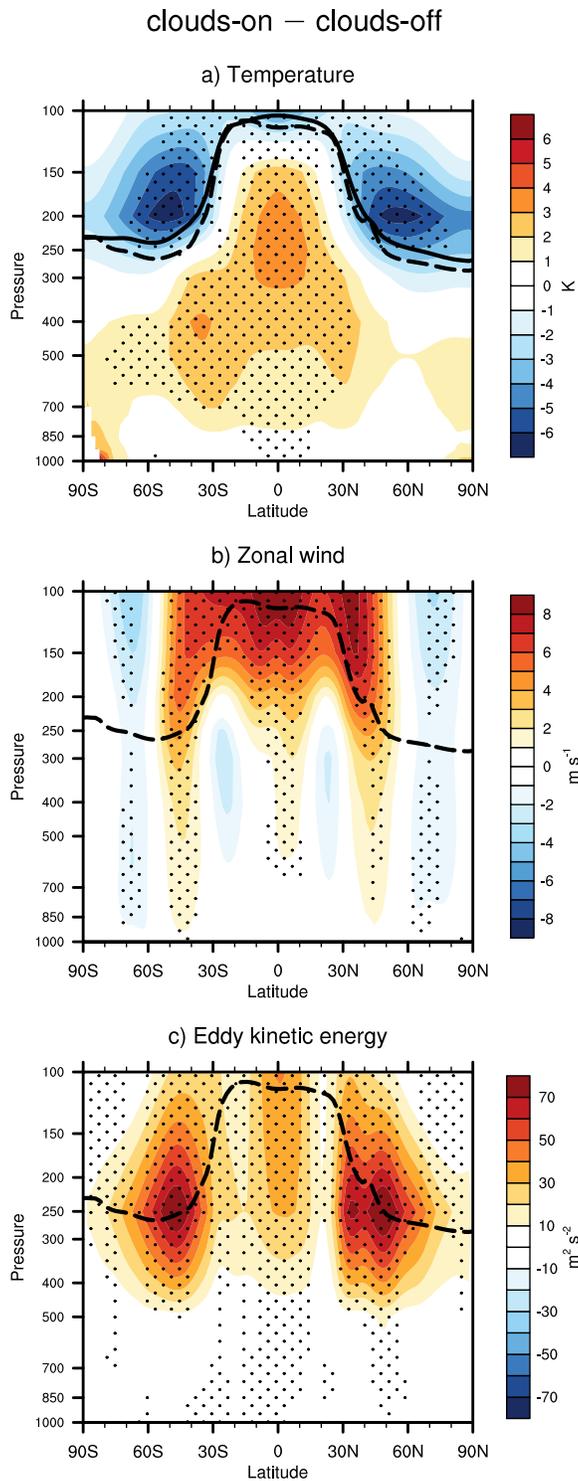


FIG. 3. Differences in the long-term mean, zonal-mean atmospheric circulation between the *clouds-on* and *clouds-off* experiments for fields indicated. Differences that are significant at the 99% level are stippled. The dashed lines in all panels indicate the long-term mean tropopause height in the *clouds-off* experiment. The solid line in panel (a) indicates the long-term mean tropopause height in the *clouds-on* experiment.

clouds-on — clouds-off

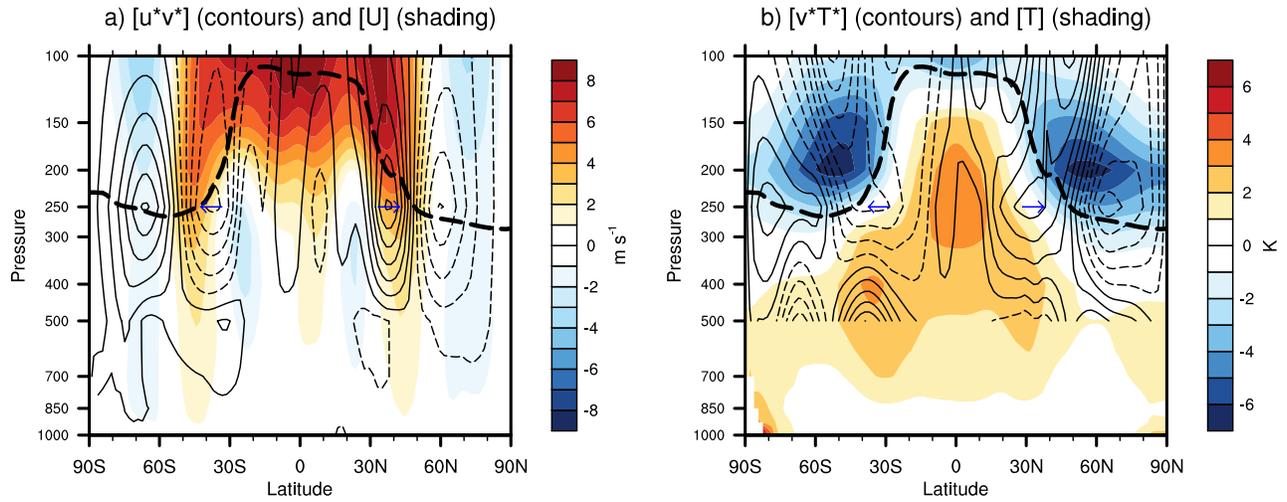


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clouds-on – clouds-off

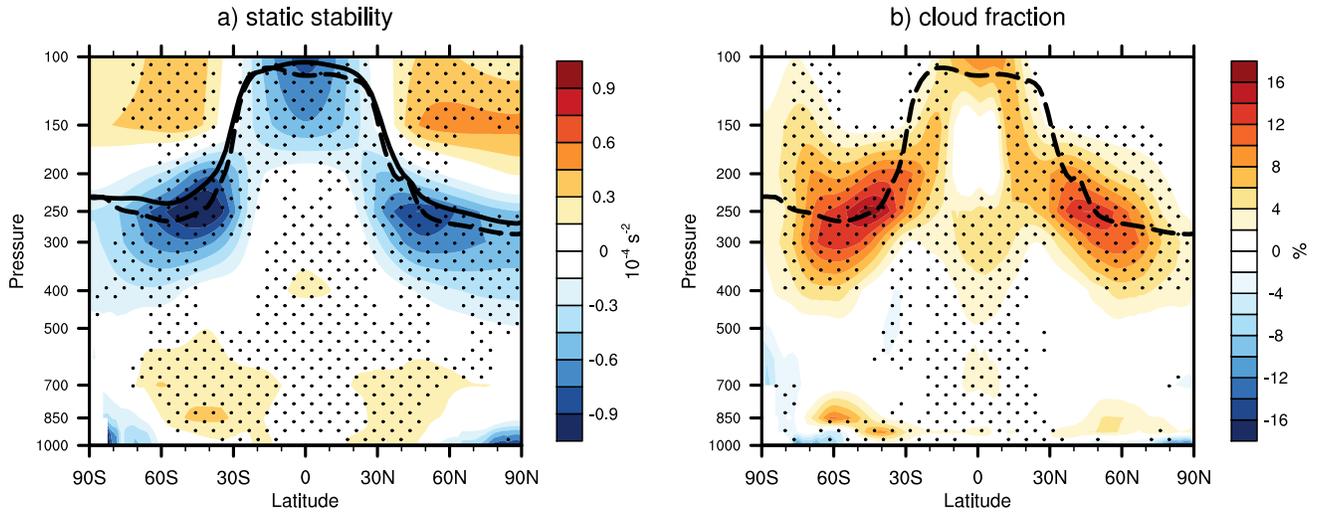


FIG. 5. Differences in the long-term mean, zonal-mean atmospheric circulation between the *clouds-on* and *clouds-off* experiments for fields indicated. Differences that are significant at the 99% level are stippled. The dashed lines in both panels indicate the long-term mean tropopause height in the *clouds-off* experiment. The solid line in panel (a) indicates the long-term mean tropopause height in the *clouds-on* experiment.

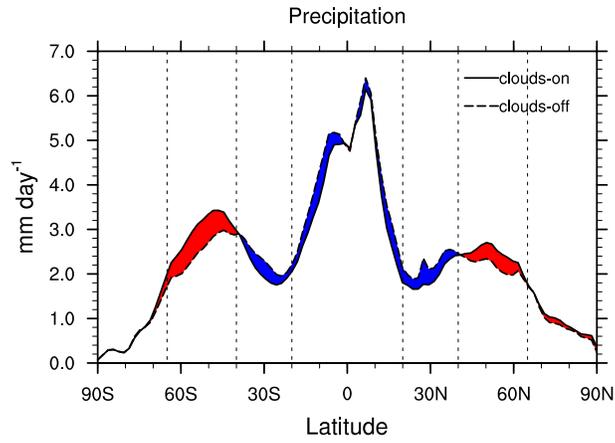


FIG. 6. The meridional structure of the long-term mean, zonal-mean precipitation in the *clouds-on* (solid line) and *clouds-off* (dashed line) experiments. Areas shaded in red indicate regions where precipitation in the *clouds-on* experiment exceeds that in the *clouds-off* experiment, and vice versa for areas shaded in blue. Vertical lines are drawn at 20°, 40°, and 60° latitudes for reference.

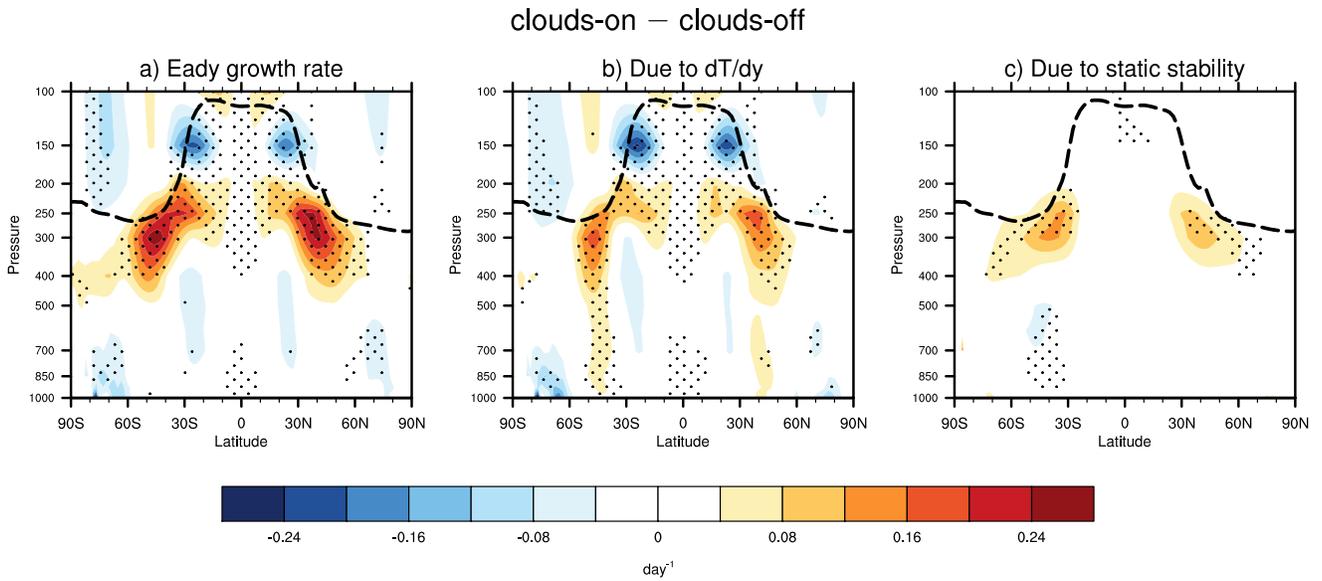


FIG. 7. Differences in the long-term mean, zonal-mean Eady growth rate between the *clouds-on* and *clouds-off* experiments. a) The differences in the total Eady growth rate; (b) the difference due to changes in the meridional temperature gradient and (c) the difference due to changes in the static stability. The dashed lines in all panels indicate the long-term mean tropopause height in the *clouds-off* experiment.

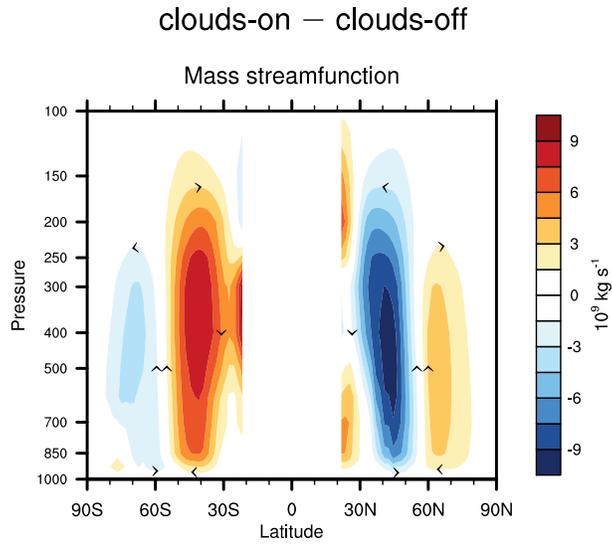


FIG. 8. Differences in the long-term mean, zonal-mean meridional overturning circulation between the *clouds-on* and *clouds-off* experiments. The meridional overturning circulation is shown as the zonal-mean mass streamfunction. Results are masked out in the tropics, where the results are spatially amorphous and not significant.

Zonally asymmetric component of 150 hPa geopotential height

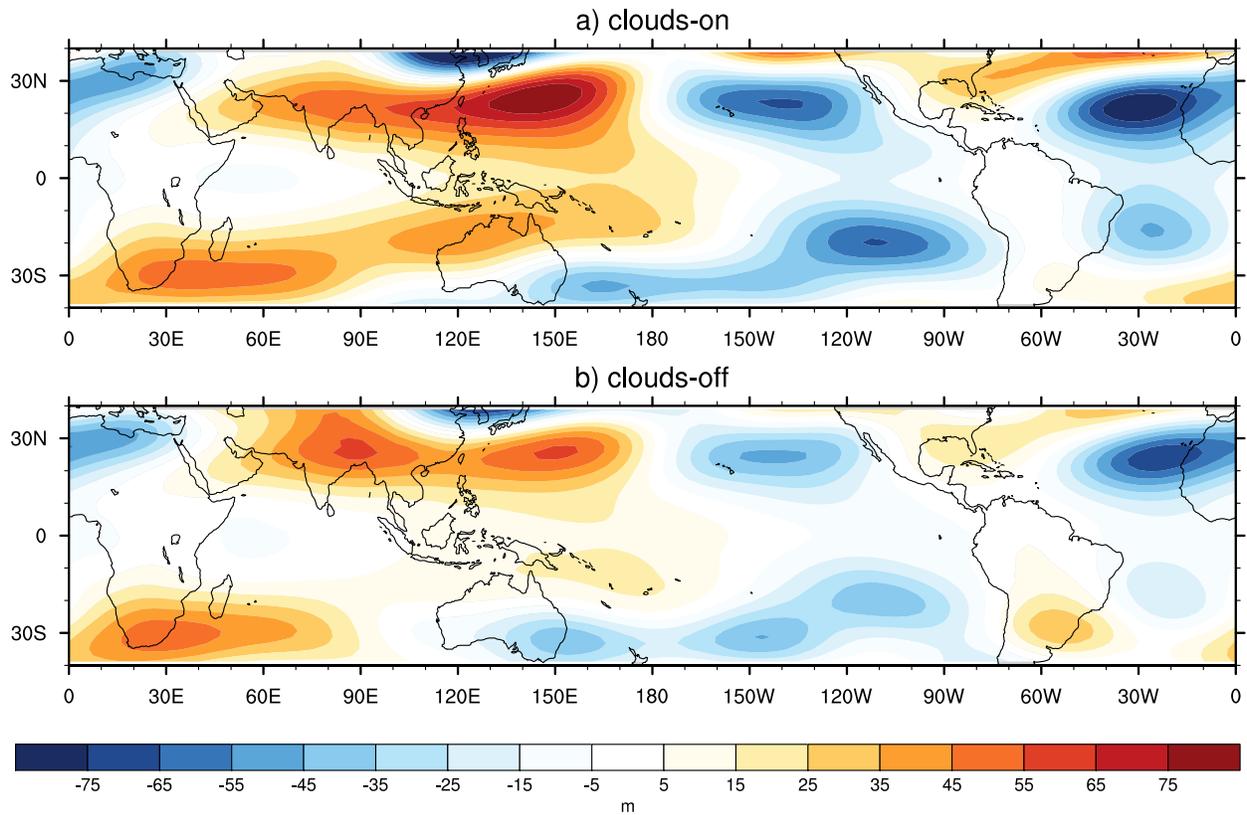


FIG. 9. Horizontal structure of the long-term mean, 150 hPa height field in the (a) *clouds-on* and (b) *clouds-off* experiments. Results show the zonal asymmetric component of the geopotential height at 150 hPa (i.e., the zonal-mean has been removed from the data).

The basic impacts of atmospheric cloud radiative effects on the zonal-mean circulation

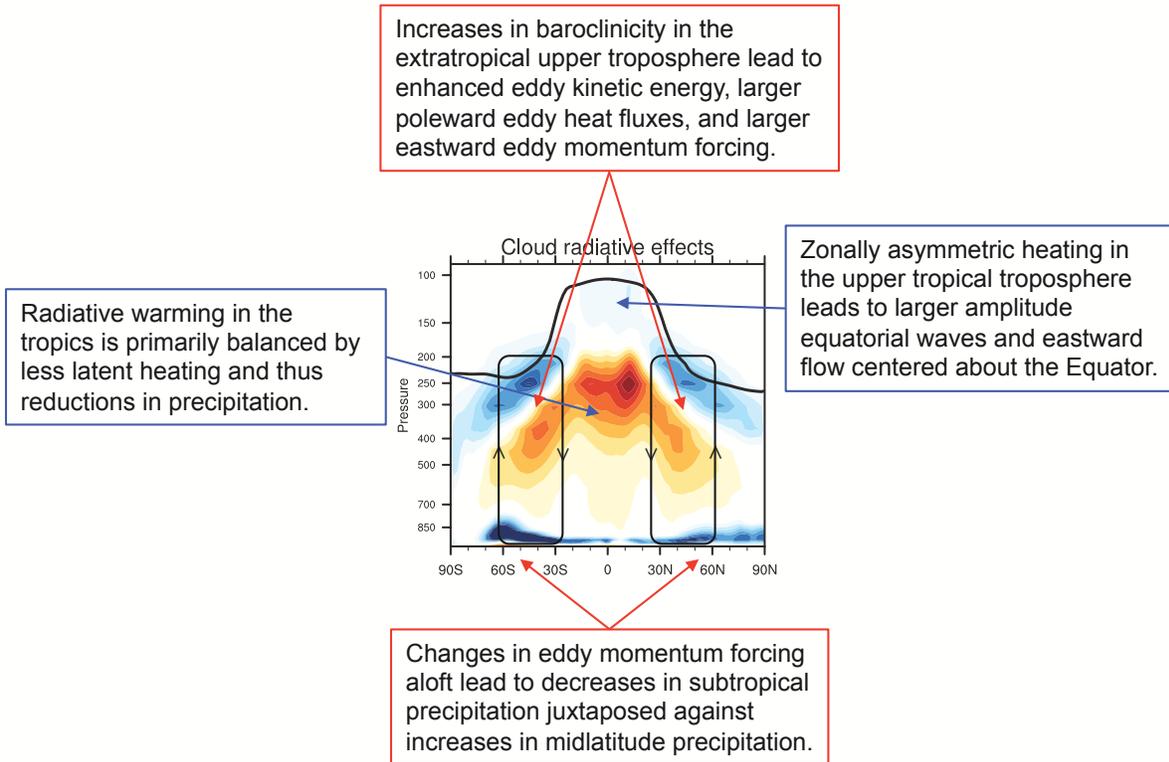


FIG. 10. Schematic diagram summarizing the basic impacts of cloud radiative effects on the zonal mean circulation, as revealed in this study. The shading is reproduced from Figure 2c and indicates the cloud radiative effects in the *clouds-on* experiment; the solid line indicates the long-term mean tropopause height from the *clouds-on* experiment.